

Electron-matter interactions: Plasmonics & nano-optics

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“Depending on the situation, surface plasmons must be regarded as many-body electron states or as electromagnetic waves, thus requiring either a quantum or a classical treatment with the possible inclusion of relativistic effects. This variety is a major obstacle to the establishment of a general theoretical treatment.

Even more crucially, surface plasmons suffer from huge ohmic losses in the metal which lead to very short lifetimes (typically a few femtoseconds). Theoretically, this presence of dissipation dramatically hardens the theoretical description of surface plasmons.”

Hugo Lourenço-Martins, PhD thesis

EPFL Contents

- Kramers-Kronig analysis and band gap measurements in visible light regime
- An evanescent probe
- Introduction to localised surface plasmon resonances (LSPRs) in EELS
- Theoretical approaches and numerical methods for EELS LSPR analysis
Dielectric in optical regime
- Coupling of plasmonic nanoparticles: EELS vs planewave illumination;
imaging of hybridisation and bonding modes
- Plasmon damping; scattering and absorption
- Dielectrics and bound-states-in-the-continuum

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EPFL EELS $\iff$ Optical response

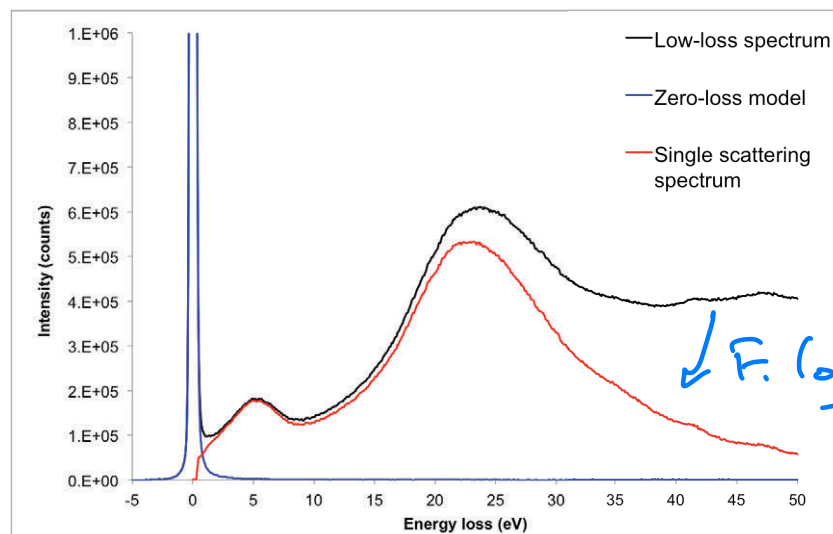
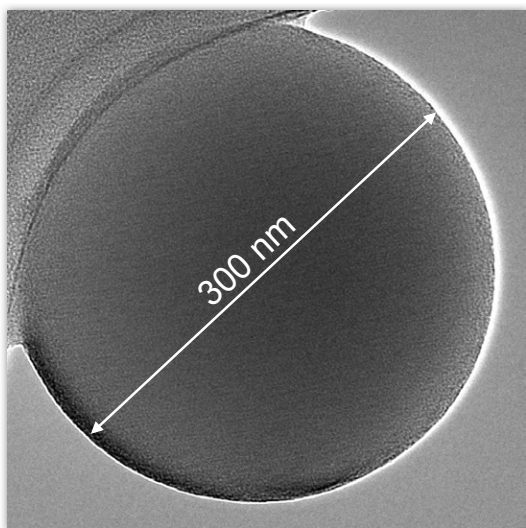
$$\epsilon = \epsilon' + i\epsilon''$$

- Deep relationship between EELS and light-optical response
- Example: determination of optical properties of atmospheric amorphous carbon spheres using Kramers-Kronig analysis of SSD EEL spectrum via:

EELS probability
for bulk plasmon

$$\Gamma_{\text{bulk}}^{\text{NR}}(\omega) = \frac{2e^2 L}{\pi \hbar v^2} \text{Im} \left\{ -\frac{1}{\epsilon} \right\} \ln \left(\frac{q_c v}{\omega} \right)$$

KK $\rightarrow \epsilon', \epsilon''$



$\downarrow$ F. log deconvolution

Alexander et al. *Science* **321** (2008) 833–836

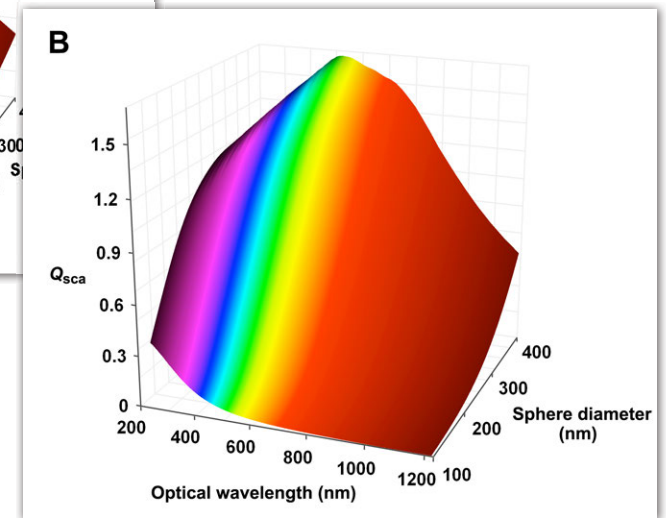
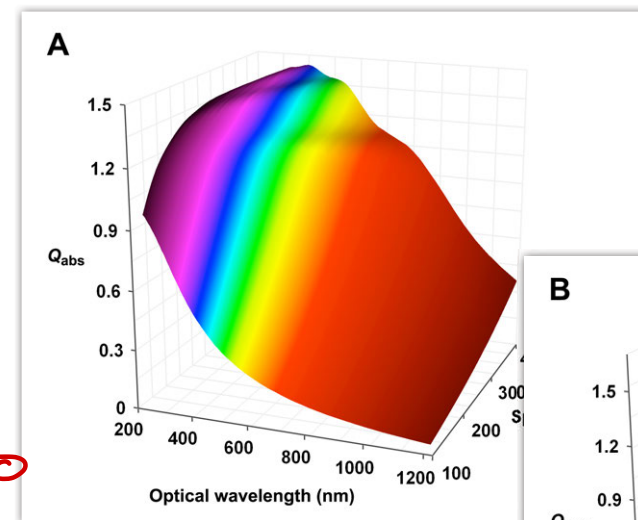
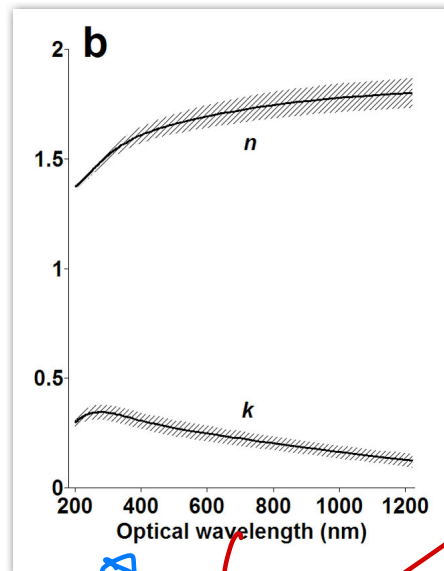
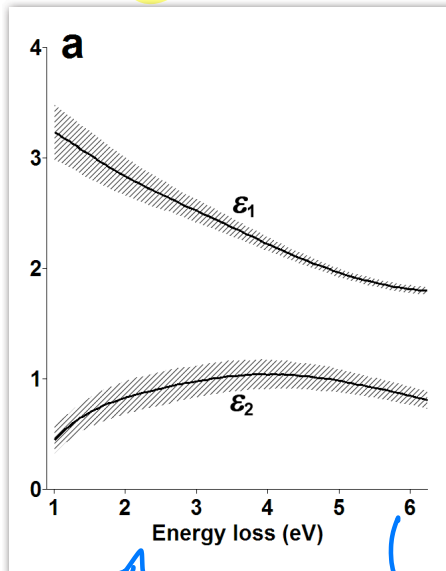
EPFL EELS $\iff$ Optical response

- Kramers-Kronig normalisation using thickness of spheres

$$\Delta E = h\nu \quad c = \nu\lambda$$

$$\Rightarrow \Delta E = \frac{hc}{\lambda}$$

Wavelength of light
Visible λ :
 $\Delta E \sim 1.5 - 3.5 \text{ eV}$



Property	Carbon sphere	Soot carbon
$N = n - ik$	$1.27 - 0.27i$	$1.95 - 0.79i$
Average spherule diameter (nm)	230	32
Number of spherules	1	55
$\sigma_{\text{abs}} (\times 10^{-14} \text{ m}^2)$	4.0	0.8
$\sigma_{\text{sca}} (\times 10^{-14} \text{ m}^2)$	3.2	0.2
ω_0	0.44	0.23
$\rho (\text{g cm}^{-3})$	$\sim 1.4 - 1.6$	$1.8 - 2.0$
MAE ($\text{m}^2 \text{ g}^{-1}$)	$\sim 3.6 - 4.1$	$4.3 - 4.8$
MSE ($\text{m}^2 \text{ g}^{-1}$)	$\sim 3.2 - 3.7$	$1.3 - 1.4$
$\hat{A}_{\text{abs}}$	~ 1.5	~ 1

$$\epsilon' = n^2 - k^2$$

$$\epsilon'' = 2nk$$

Mie simulations

Alexander et al. *Science* **321** (2008) 833–836

Mie theory: Bohren and Huffman

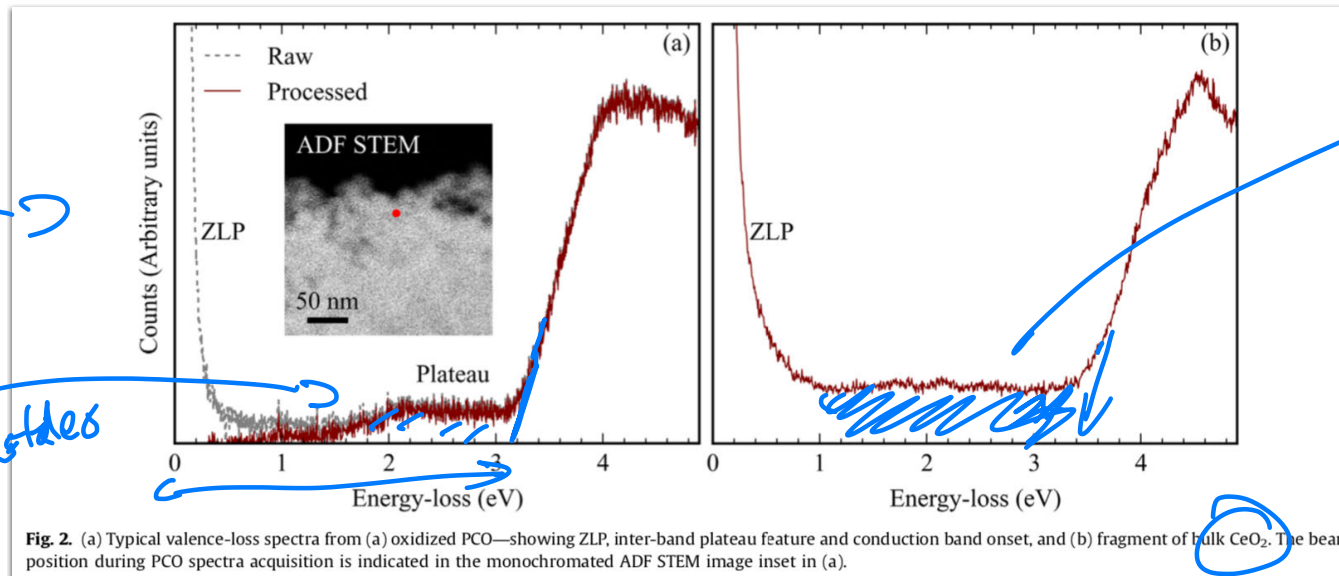
“Absorption and scattering of light by small particles”

EPFL Band gap measurements

$$\text{Im}\left(-\frac{1}{\epsilon}\right) = \frac{\epsilon''}{\epsilon'^2 + \epsilon''^2}$$

- In band gap $\epsilon'' \rightarrow 0$ therefore we should have $\Gamma_{\text{bulk}} \rightarrow 0$
- Measurements fraught with difficulties (Cherenkov radiation, surface damage/oxidation, surface plasmons, removal of ZLP...). See papers by M. Stöger-Pollach
- Example of good study: Bowman et al. *Ultramicroscopy* **167** (2016) 5–10:

Pr-doped
Ce-O
Pr-doping
into band states
 $\sim 3.2 \text{ eV}$



- What is the spatial resolution of such a measurement?

$\rightarrow \sim 10 \text{ nm} ??$

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EPFL An evanescent probe

- Point charge moving in vacuum: evanescent source of radiation
 $\Rightarrow$ can explore regions of momentum-space that lie outside the light cone
- Electric field of swift charged particle moving in homogeneous medium
 (distance r , frequency ω , time t):

$$\vec{E}(\vec{r}, t) = \int \frac{d\omega}{2\pi} \vec{E}(\vec{r}, \omega) e^{-i\omega t}$$

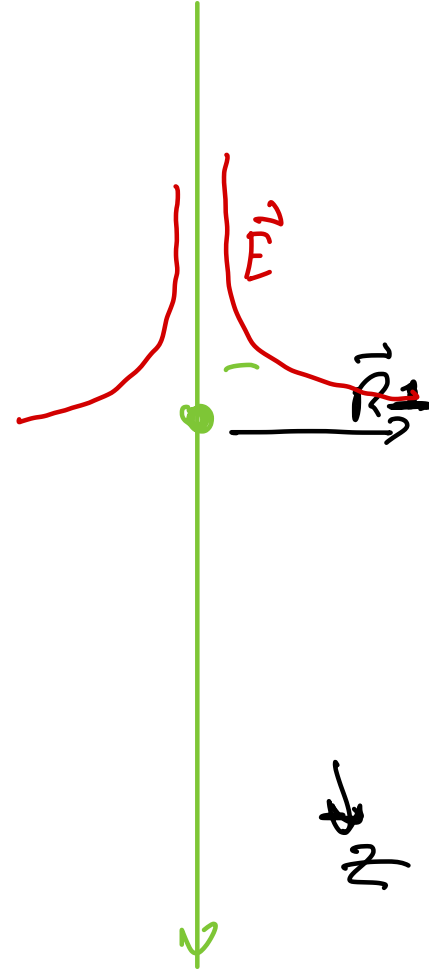
- In spectral domain, direct solution of Maxwell's equations yields:

$$\hbar = \frac{2\pi}{k}$$

$$\vec{E}(\vec{r}, \omega) = \frac{ie}{\pi} \int d\vec{q} \frac{\vec{q}/\epsilon - k\vec{v}/c}{q^2 - k^2\epsilon} e^{i\vec{q}\cdot\vec{r}} \delta(\omega - \vec{q}\cdot\vec{v})$$

$\swarrow$ Retardation $\nwarrow$ velocity

for wave vector $\vec{q}$ where $k = \omega/c$ is the light wave number in free space and ϵ is the dielectric function of the homogeneous medium

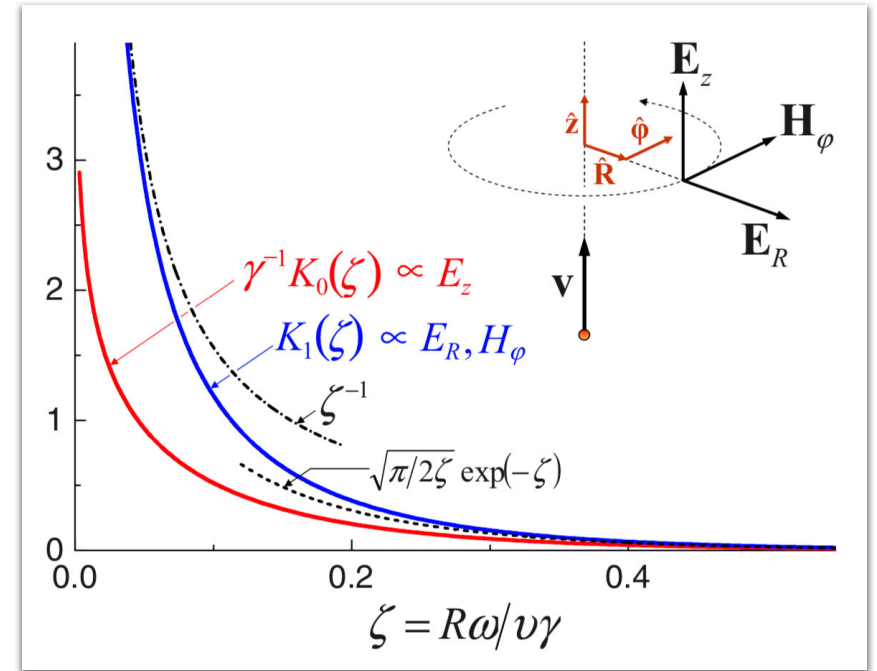


EPFL An evanescent probe

- Applying cartesian coordinates & with $v < c$ integral yields:

$$\bar{\mathbf{E}}(r, \omega) = \frac{2e\omega}{v^2\gamma\epsilon} e^{i\omega z/v} \left[\frac{i}{\gamma} K_0\left(\frac{\omega R}{v\gamma}\right) \hat{\mathbf{z}} - K_1\left(\frac{\omega R}{v\gamma}\right) \hat{\mathbf{R}}_{\perp} \right]$$

trajectory
Modified Bessel functions

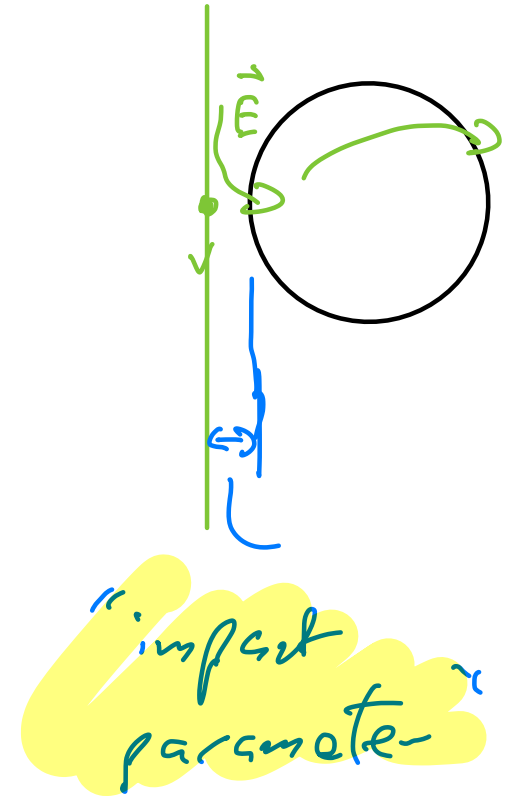
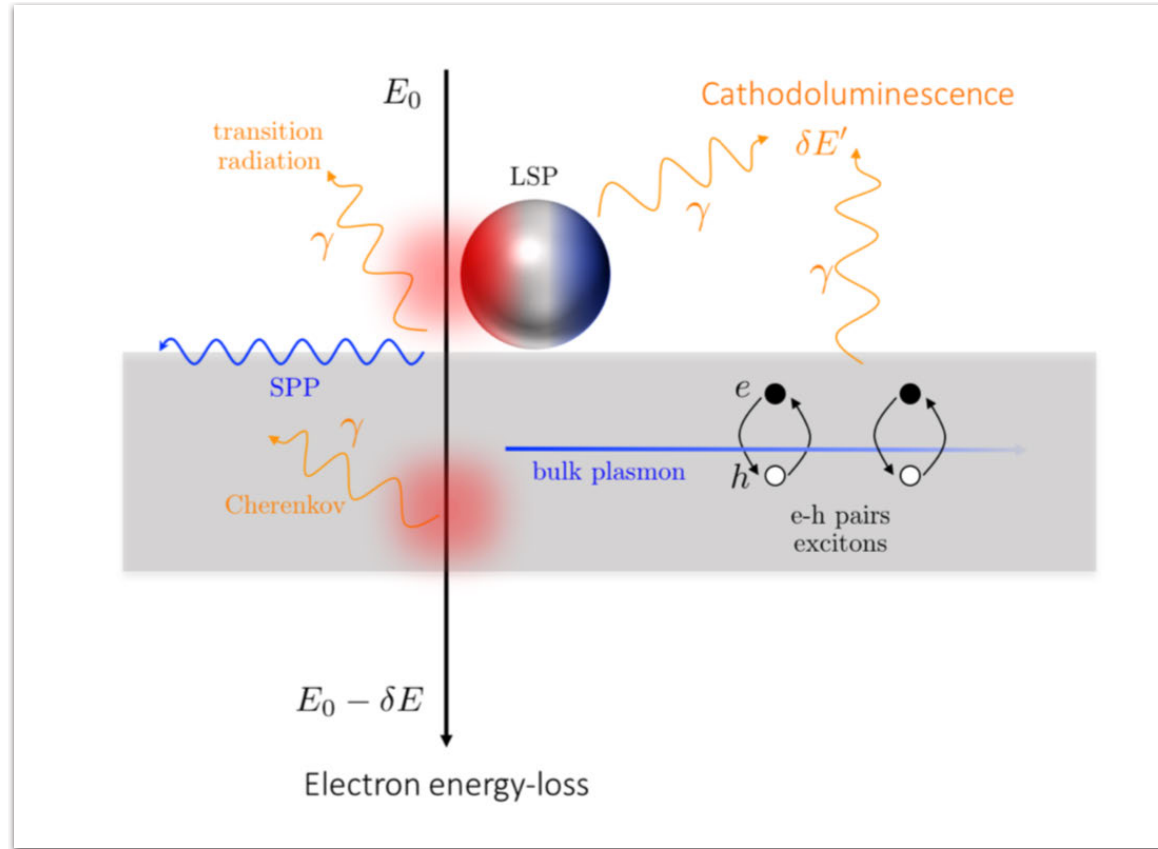


- Non vanishing components decay exponentially $\Rightarrow$ response delocalisation
Greater $v \Rightarrow$ field extends further

F.J. García de Abajo "Optical excitations in electron microscopy," Rev. Mod. Phys. **82** (2010) 209–275

EPFL Probe-sample interactions

Broad-band
source of
excitation



- e-beam does not need to penetrate sample to stimulate surface excitation
⇒ measurements in "aloof" geometry

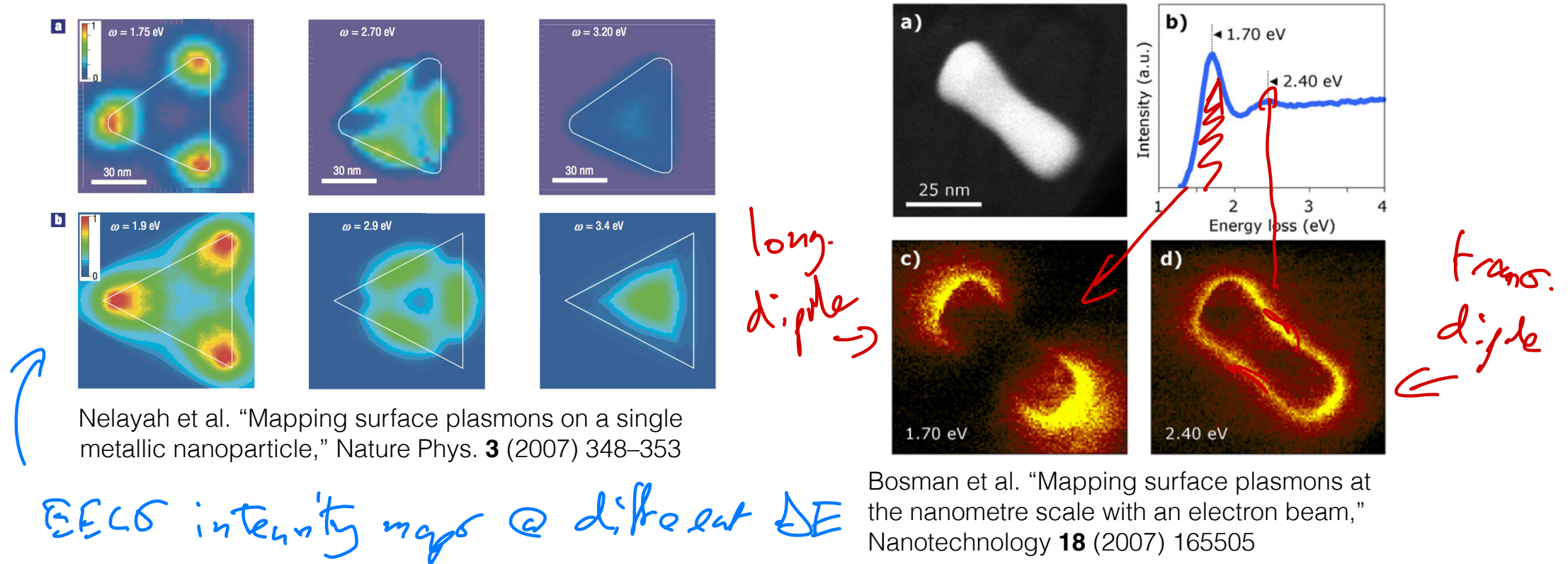
Figure from: Hugo Lourenço-Martins, PhD thesis

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EPFL First EELS mapping of LSPRs

- EELS measurement of localised surface plasmon resonance (LSPR) eigenmodes



- Looks impressive: spatial resolution $\sim \lambda/(30-40)$ – *but what are we actually measuring?*

EPFL Surface plasmons(-polaritons)

- SPs: collective charge density waves of e-s propagating at interface associated to strongly confined EM fields
- Consider interface of 2 semi-infinite media with dielectric constants ϵ_m and ϵ_d
- Solution of Helmholtz wave eq. and continuity relations are surface plasmons (SPs):

$$\vec{E}_j = \begin{pmatrix} E_{x,j} \\ 0 \\ E_{z,j} \end{pmatrix} e^{i(k_x x - \omega t)} e^{ik_{z,j} z}$$

Handwritten notes:
 - $e^{i(k_x x - \omega t)}$: propagating
 - $e^{ik_{z,j} z}$: exponentially decaying field in interface

- Dispersion relation: $k_{SP} = \frac{\omega}{c} \sqrt{\frac{\epsilon_m \epsilon_d}{\epsilon_m + \epsilon_d}}$

Handwritten: $\epsilon_d = 1$ (vac.)

Handwritten: $\epsilon_m = \epsilon_B - \frac{\omega_p^2}{\omega^2}$
 Pseudo metal
 ω_p : freq. of bulk plasmon

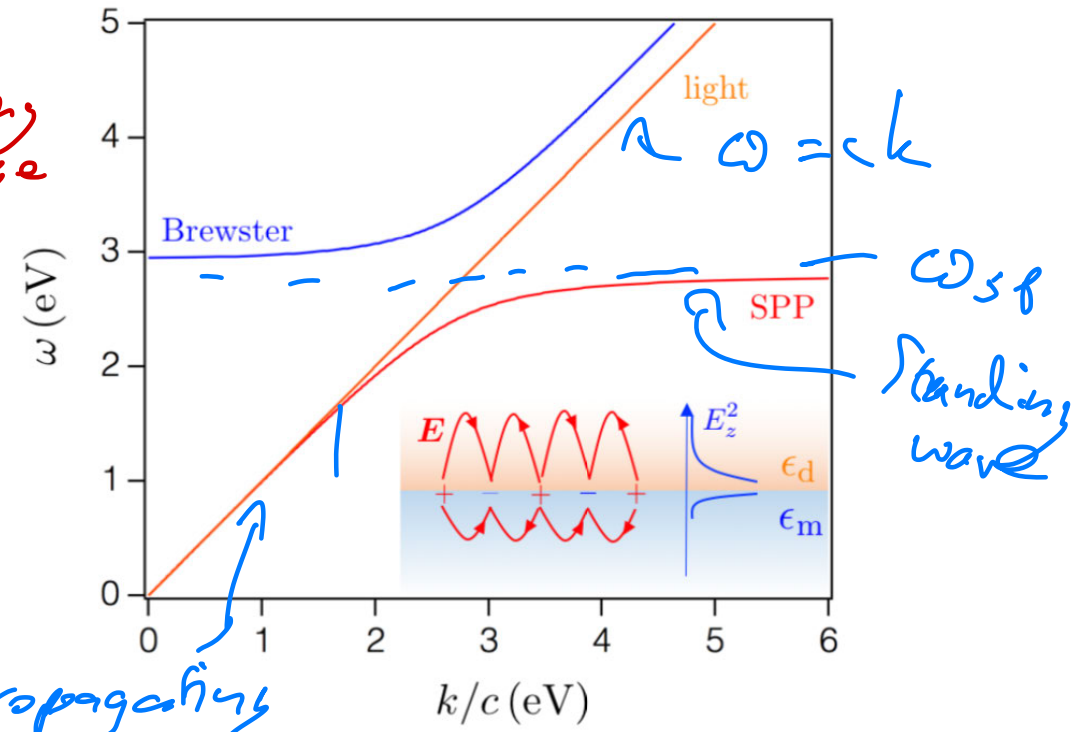
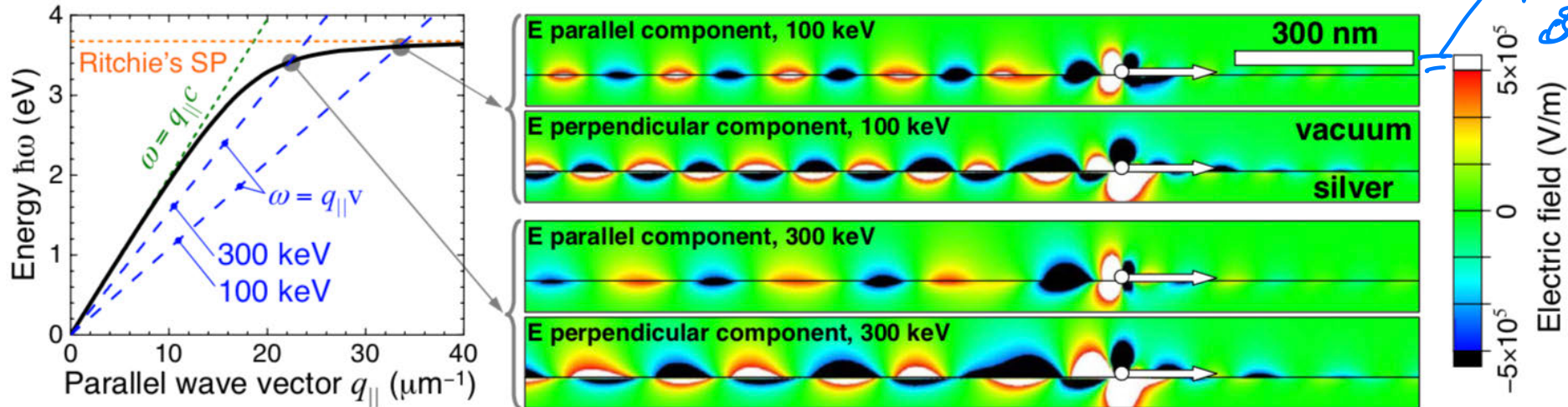


Figure for model Au/vac. from: Hugo Lourenço-Martins, PhD thesis 14

EPFL Surface plasmons(-polaritons)

- Semi-infinite interface: SPs are traveling waves with evanescent field in z direction: surface plasmon-polaritons (SPPs)
- SPPs: TM modes; cannot couple directly with light
- Modelling of excitation by fast e-s moving parallel to Ag/vacuum interface at impact parameter of 10 nm:

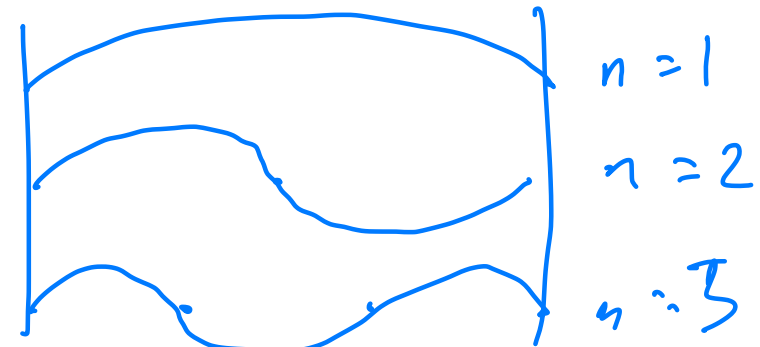
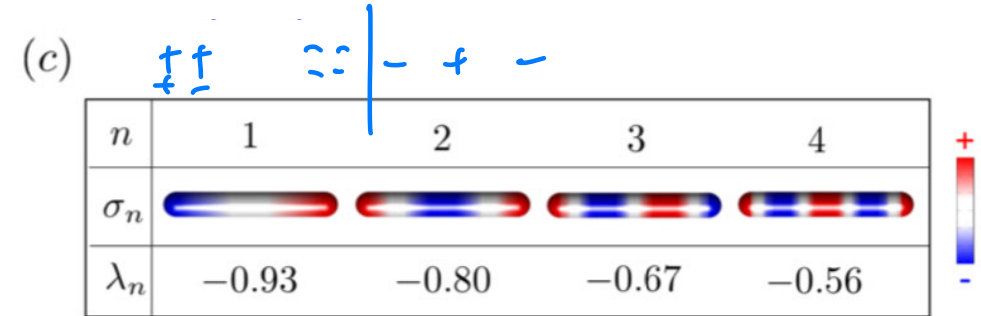
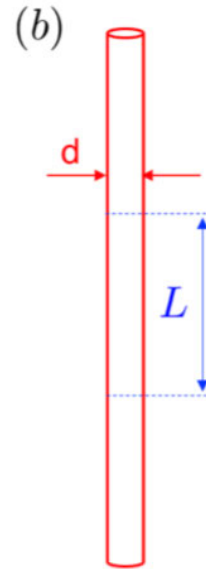
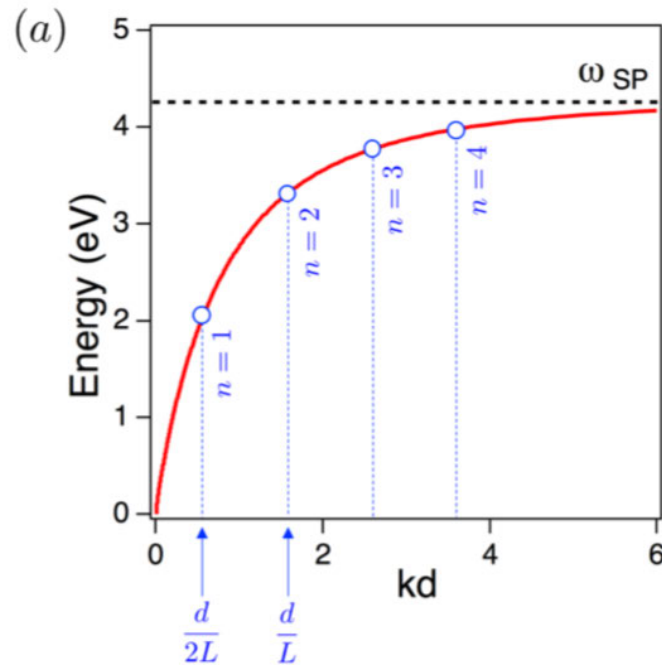


F.J. García de Abajo "Optical excitations in electron microscopy," Rev. Mod. Phys. **82** (2010) 209–275

EPFL Localised surface plasmon resonances

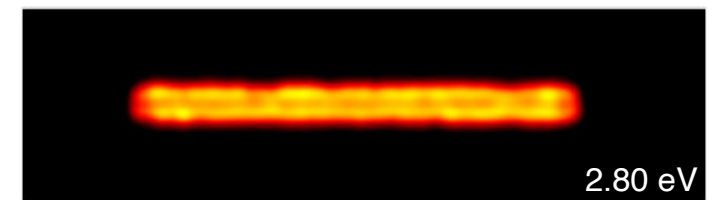
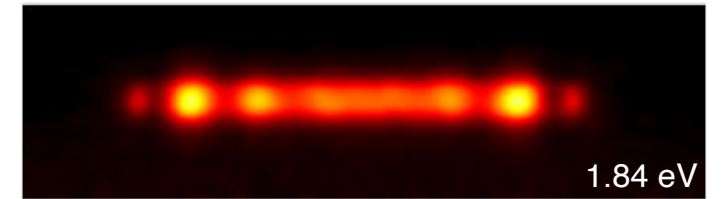
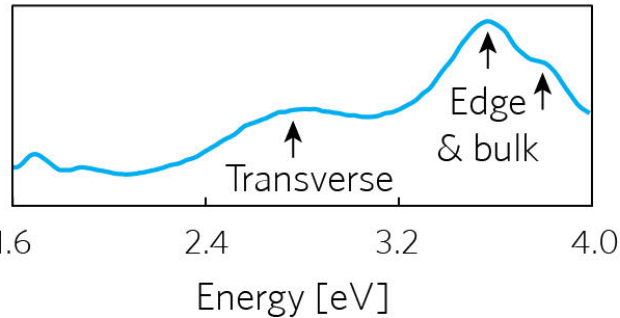
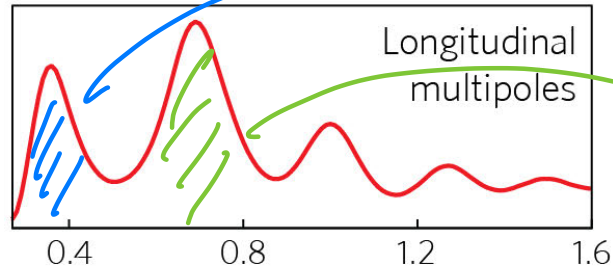
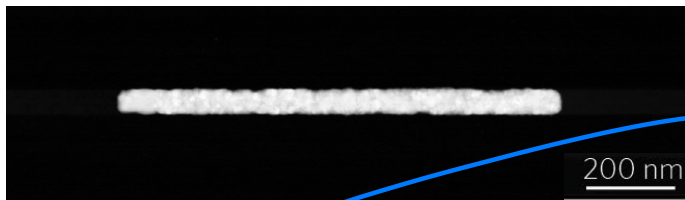
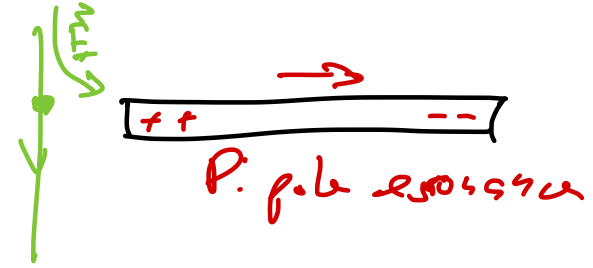
- Confine SP on nanoparticle: set up a stationary standing wave
- Example: modelling of 400 x 30 nm Ag rod

→ Eigenmode solutions



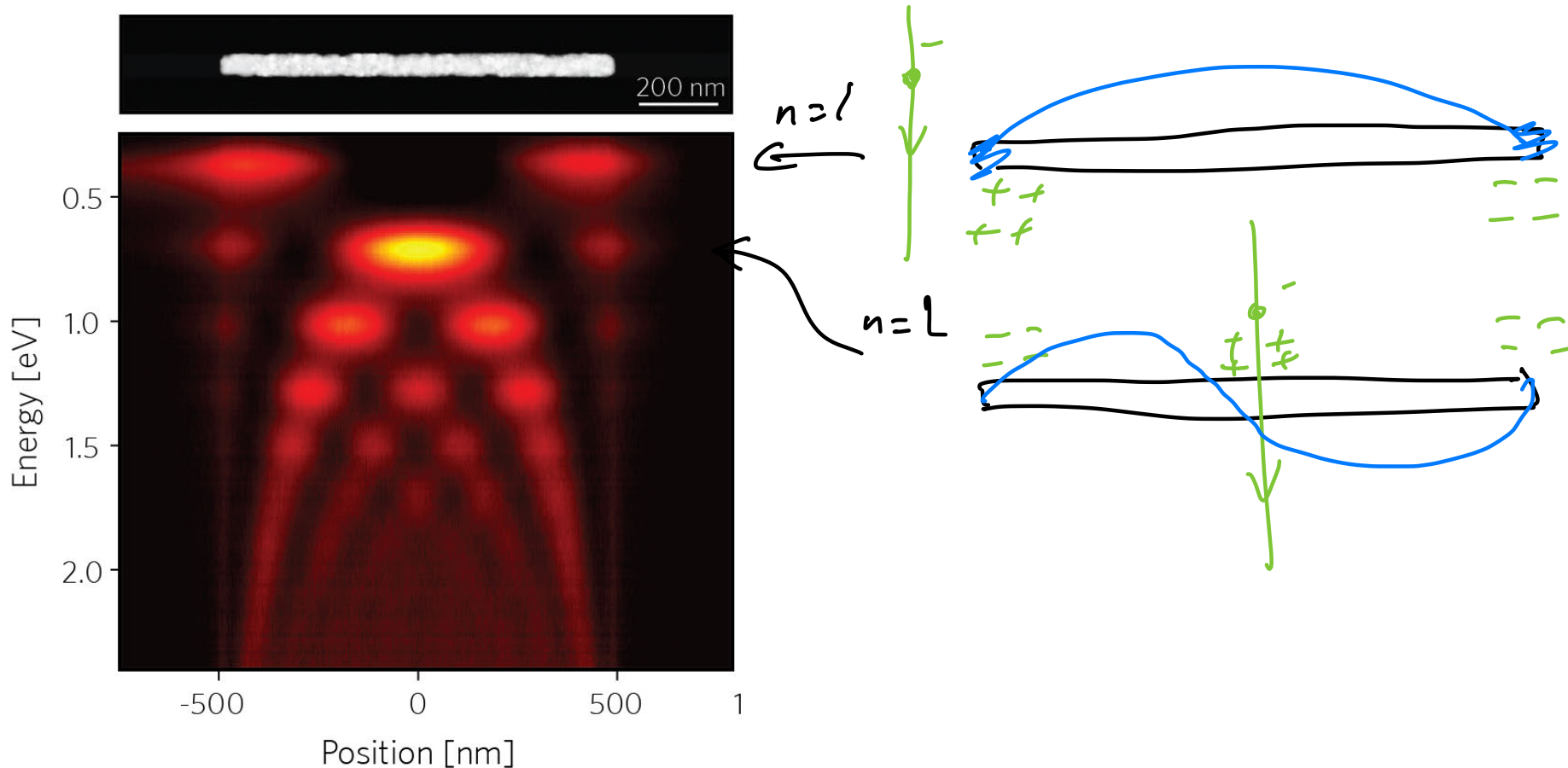
EPFL LSPRs on nanorod

- EELS measurements of 1000 x 50 nm Ag rod
- Strong EELS signal when $\vec{E}$ of probe excites LSPR with energy $\Delta E = hc/\lambda$



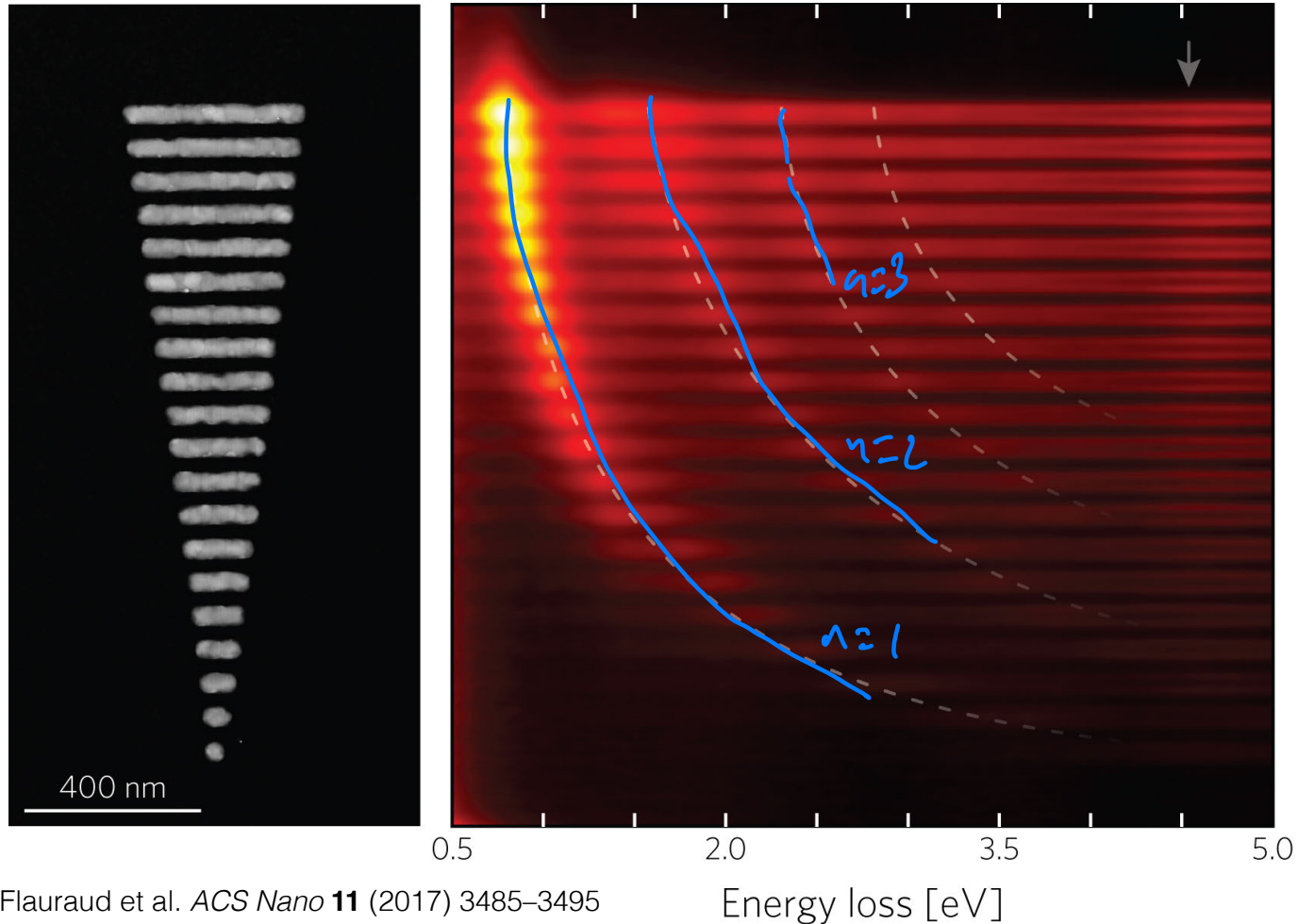
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EPFL LSPRs on Al nanorods – dispersion curve

- Red-shift of resonance energies with increasing length of nanorod



V. Flauraud et al. *ACS Nano* **11** (2017) 3485–3495

Rossouw
Ag nanorods
→ Dipole $\Delta E: 170 \text{ meV}$

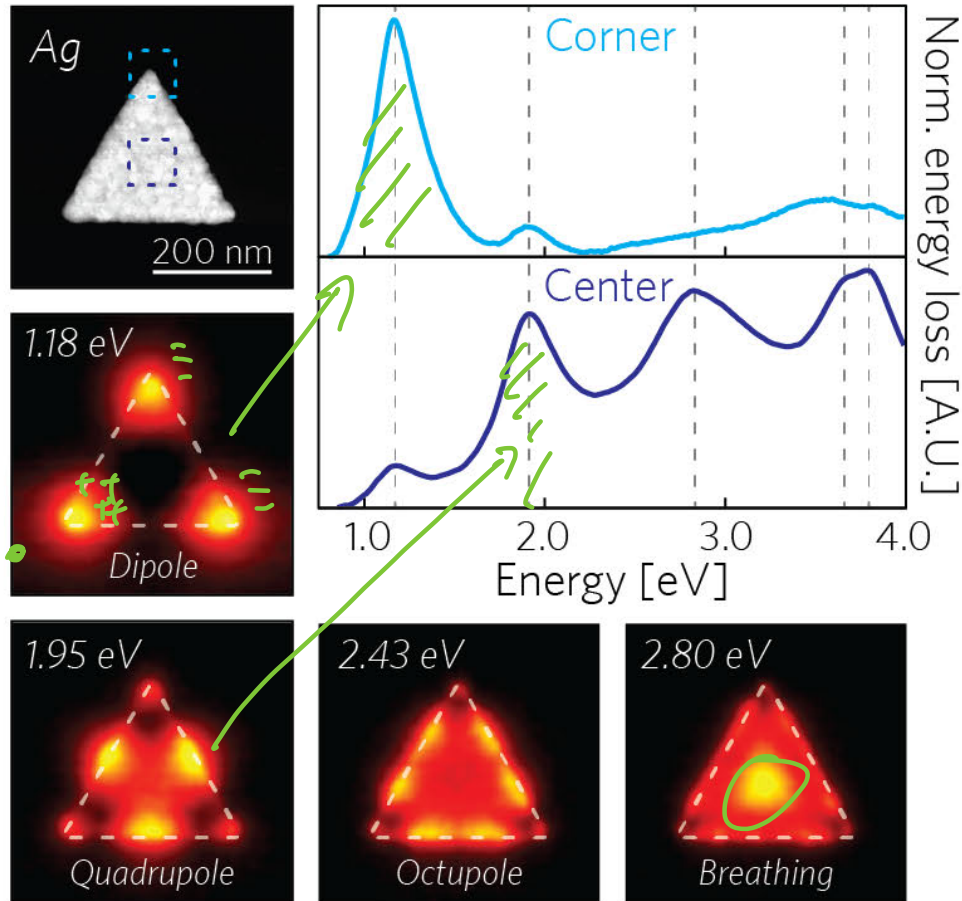
Cu wires $\sim 20 \mu\text{m}$?
↓
Modes $< 20 \text{ meV}$

Rossouw and Botton *PRL* **110** (2013) 066801

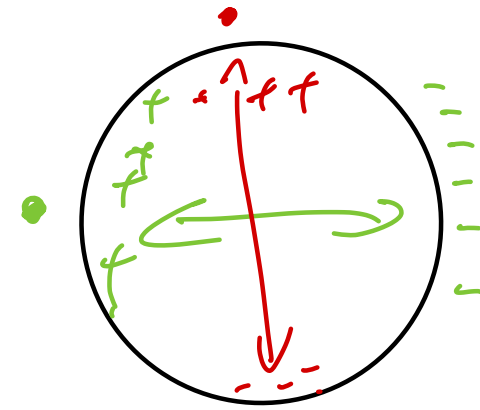
Mkhitarian *Nano Lett.* **21** (2021) 2444–2452

EPFL LSPRs on nanotriangle

- EELS hotspots for nodes in multipolar edge modes



Suppose a sphere?



Intensity map for dipole

big ring

EPFL Contents

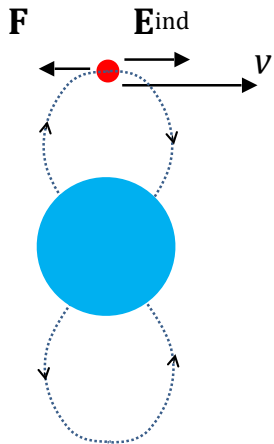
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EPFL Classical: solve Maxwell's equations

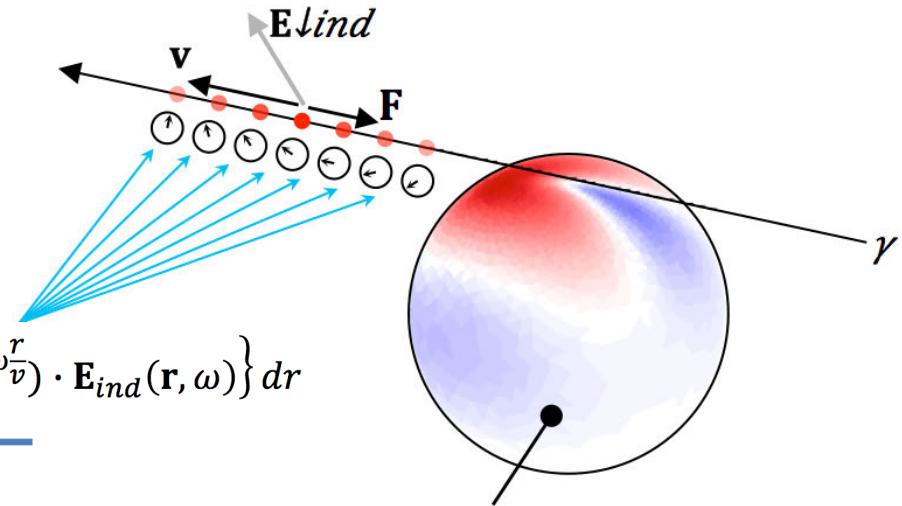
- Take case of LSPR excitation on a metallic sphere
- Energy loss probability $\Gamma_{\text{EELS}}(\omega)$ related to work done by Lorentz force acting back on fast transmitted e^- from $\vec{E}_{\text{ind}}$

Field of emission

$$\Delta E = e \int dt \mathbf{v} \cdot \mathbf{E}^{\text{ind}}[\mathbf{r}_e(t), t] = \int_0^\infty \hbar \omega d\omega \Gamma_{\text{EELS}}(\omega)$$



$$\Gamma(\omega) = \frac{q}{\pi \hbar \omega v} \int_{\gamma} \text{Re} \left\{ \left(\mathbf{v} e^{-i\omega \frac{\mathbf{r}}{v}} \right) \cdot \mathbf{E}_{\text{ind}}(\mathbf{r}, \omega) \right\} d\mathbf{r}$$



Charge distribution at one frequency

EPFL Classical approaches/numerical methods

- Analytical solutions: exist for restricted geometries (e.g. spheres).
- Discrete-dipole approximation (DDA): treat nanoparticle as discrete assembly of point dipoles which interact with each other. Time consuming.
- Finite difference time domain (FDTD) method: solve full Maxwell equations in temporal domain, throughout space (3D). Less accurate than BEM.
- Boundary element method (BEM): use boundary conditions to reformulate Maxwell equations as a boundary integral equation (BIE). Fast computation but sensitive to complex parameterisation.
- Surface integral equation (SIE) method: Green's function approach to solve the EM wave equation. Restriction to isotropic/homogeneous media but versatile surface discretisation. Developed for EELS by NAM, EPFL.
- Photonic local density of states (LDOS): context for “fundamental” interpretation

EPFL Boundary element method

- Considering LSPRs: in quasi-static limit of $kL \ll 1$ where L is nanoparticle size, retardation can be neglected and wave eq. reduces to Poisson's eq.:

$$\nabla^2 \phi(\mathbf{r}, \omega) = -4\pi \rho(\mathbf{r}, \omega)$$

- Applying boundary relations this reduces to boundary integral eq.:

*P: Cauchy
Principal value*

$$\Lambda(\omega)(\mathbf{s}) = \mathcal{P} \oint_S F(\mathbf{s}, \mathbf{s}') \sigma(\mathbf{s}')$$

*$\vec{s}$: vector
in surface*

where have constant: $\Lambda(\omega) = 2\pi \frac{\epsilon_d(\omega) + \epsilon_m(\omega)}{\epsilon_d(\omega) - \epsilon_m(\omega)}$

*$\mathbf{n}(\mathbf{s})$: vector
normal
to surface*

and kernel $F(\mathbf{s}, \mathbf{s}') = \frac{-\mathbf{n}(\mathbf{s}) \cdot (\mathbf{s} - \mathbf{s}')}{|\mathbf{s} - \mathbf{s}'|^3}$

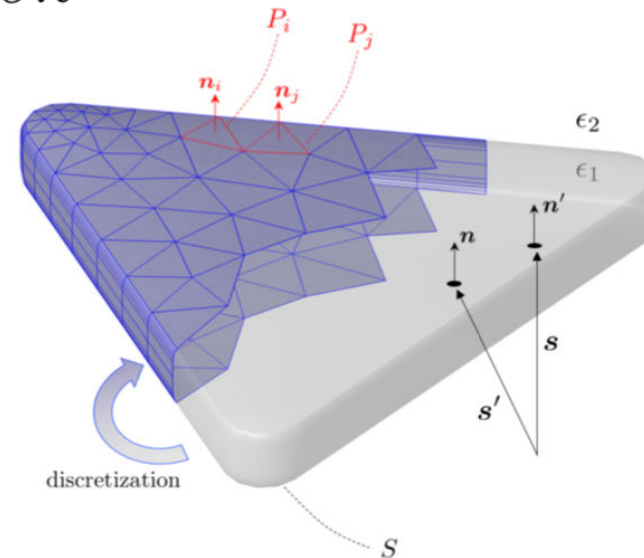
- Solutions that form infinite set $\{\lambda_n, \sigma_n\}_{n \in \mathbb{N}}$ and are solutions of eigenproblem

EPFL Boundary element method

- Boundary element method (BEM) extends this by applying EM perturbation described by scalar potential ϕ^{ext} giving:

$$\Lambda(\omega)\sigma(\mathbf{s},\omega) = \mathcal{P} \oint_S F(\mathbf{s},\mathbf{s}')\sigma(\mathbf{s}',\omega)d\mathbf{s}' + \frac{\partial\phi^{\text{ext}}(\mathbf{s}',\omega)}{\partial n}$$

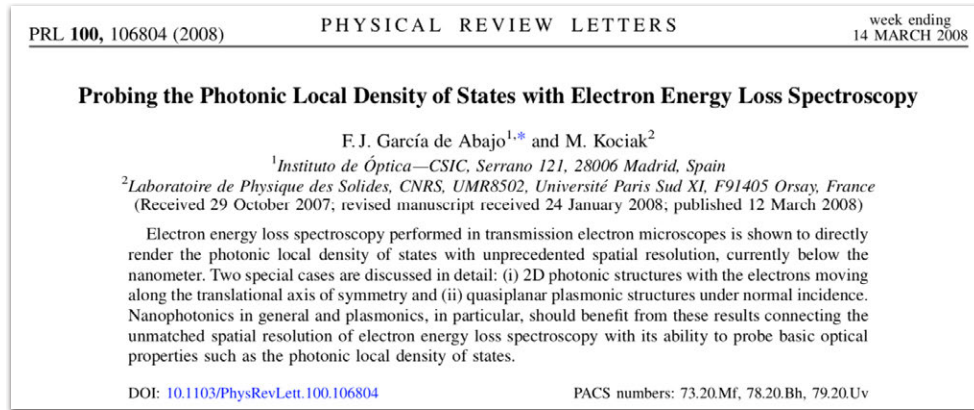
- Calculations by discretising surface and applying appropriate parameterisation



- Popular tool for applying BEM is MNPBEM toolbox by Hohenester and Trügler <https://physik.uni-graz.at/de/mnpbem/>

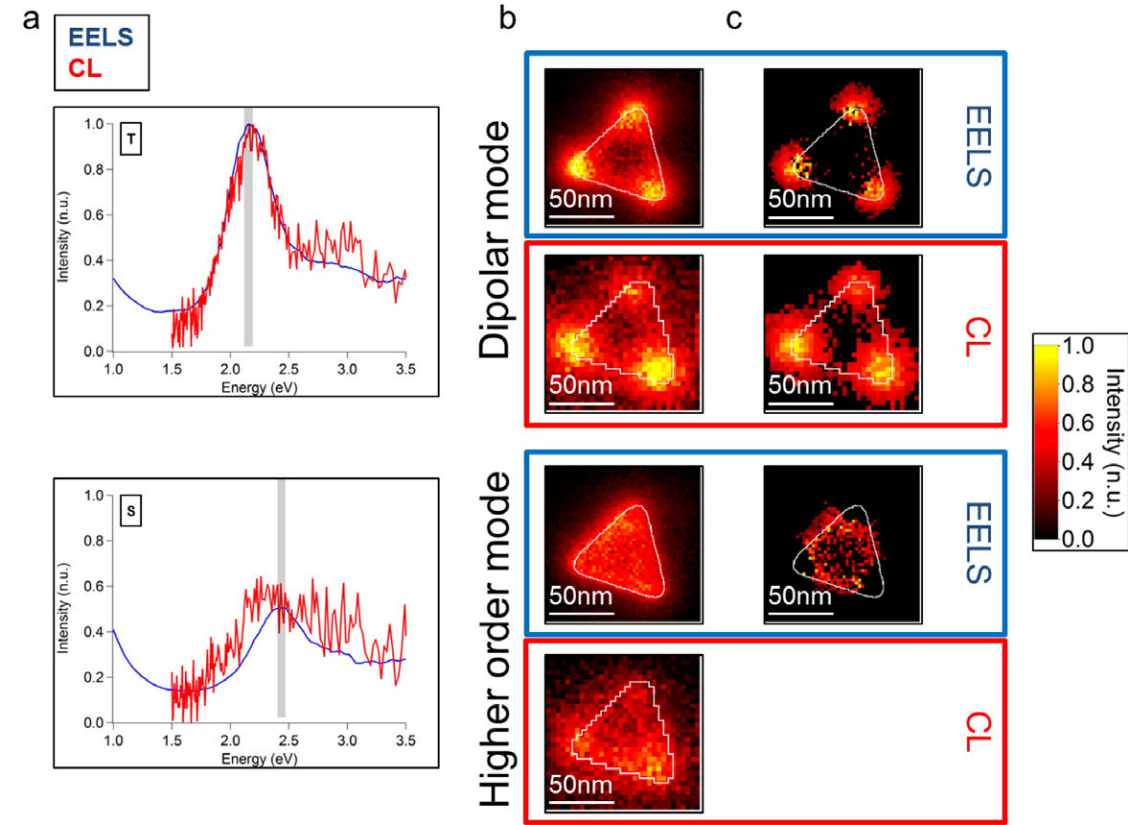
EPFL Photonic local density of states

- García de Abajo and Kociak showed EELS related to full photonic local density of states (LDOS) of a nanostructure, including radiative & non-radiative components



- Used in interpretation of correlated EELS and cathodoluminescence (CL) spectra; CL related only to radiative LDOS

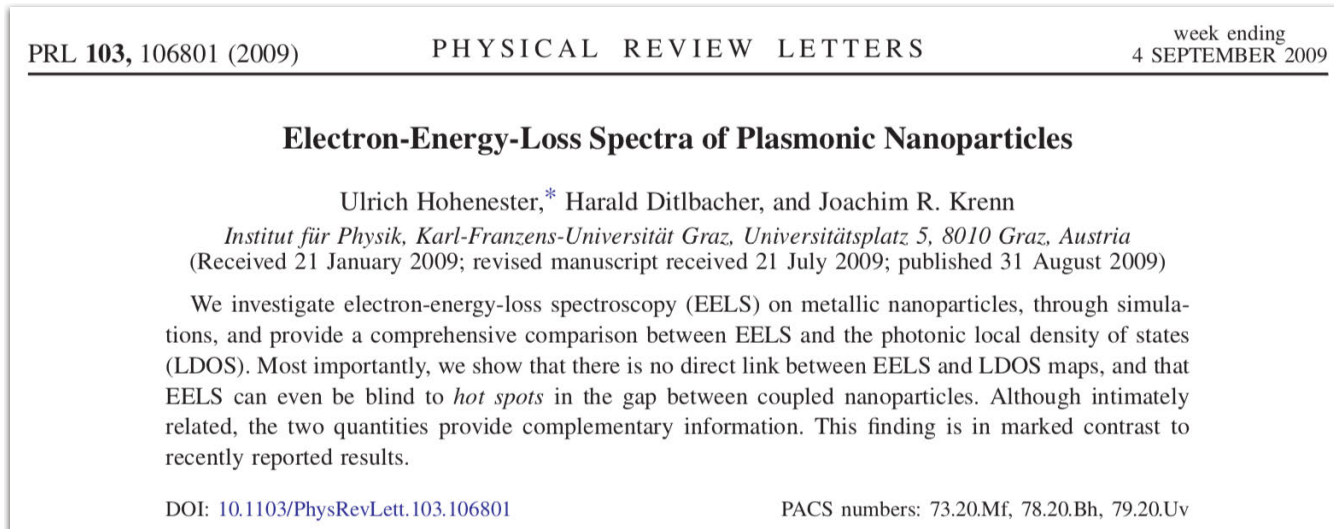
EELS: near-field
CL: far-field



STEM-CL and EELS maps of Ag nanotriangle:
A. Losquin et al. Nano Lett. **15** (2015) 1229

EPFL Photonic local density of states

- *However:* Hohenester et al. showed that, while they are intimately related, there is no direct link between EELS and LDOS maps.



- Importantly: EELS probes only component of LDOS parallel to e^- trajectory – scalar product of e^- velocity and $\vec{E}_{\text{ind}}$
- This can make it blind to EM hotspots between coupled nanoparticles

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EPFL Coupling of plasmonic nanoparticles

- Example: coupling of Au nanorods with different separations
- Unlike illumination using planewave EM radiation:
 - observe longitudinal anti-bonding mode; a *dark* mode
 - do not observe strong excitation of longitudinal bonding mode in the gap

STRONG E in gap

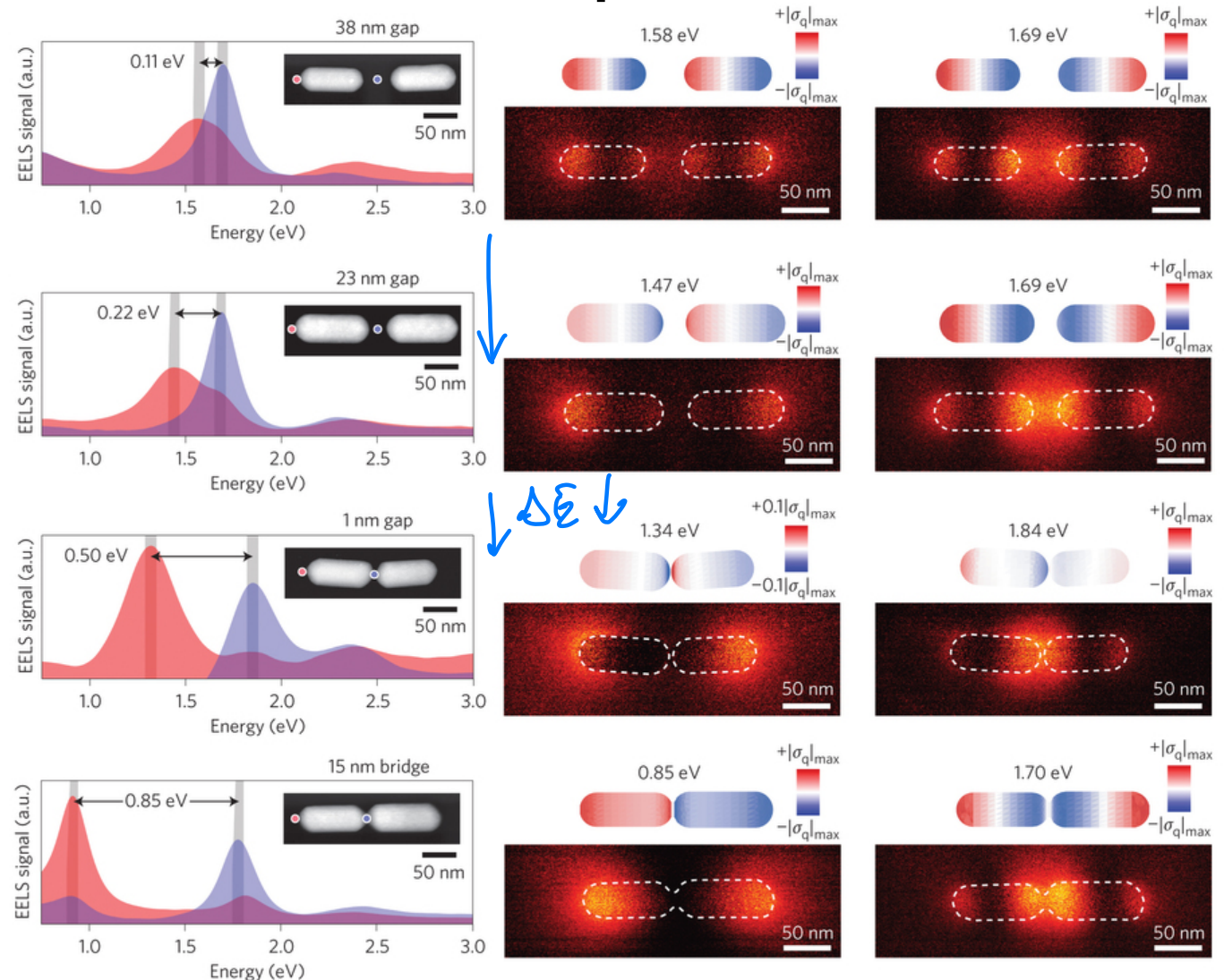
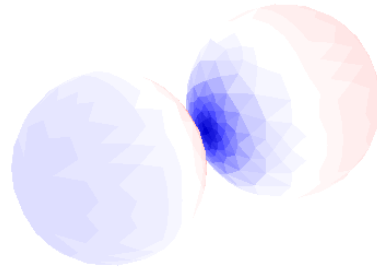


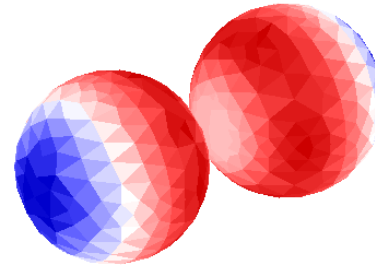
Figure from: V. Flauraud et al. Nature Nano **12** (2017) 73–80

EPFL EELS vs planewave excitation

- Planewave light: monochromatic and spatially extended
- Fast e^- : strongly localised, radially-symmetric electromagnetic field with broad spectrum $\Rightarrow$ near-field imaging over broad frequency range
- Consider possibility to excite different resonances/charge distributions from a dimer pair of small plasmonic spheres with different geometries of incident field:



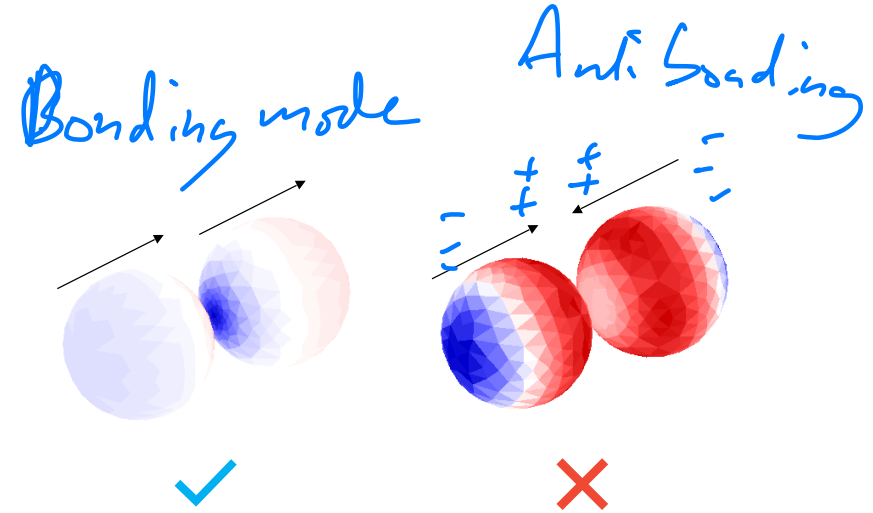
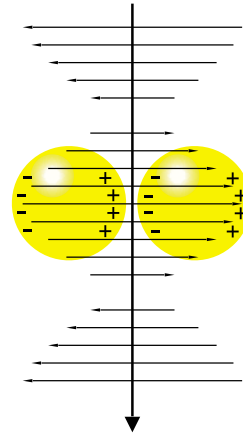
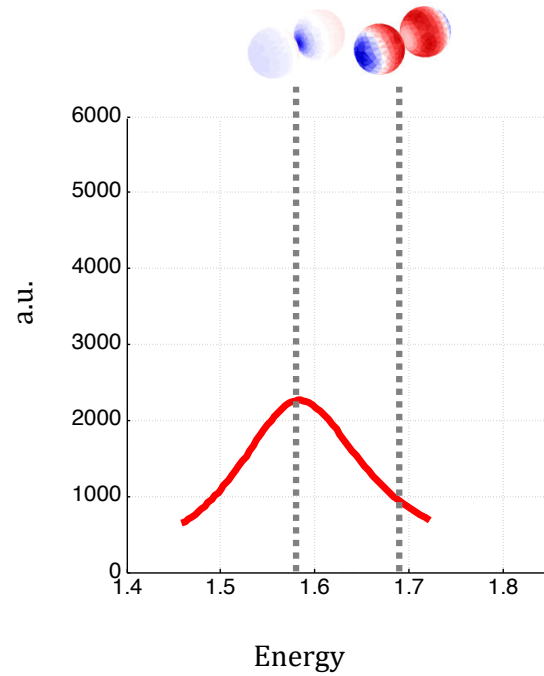
Longitudinal bonding



Longitudinal antibonding

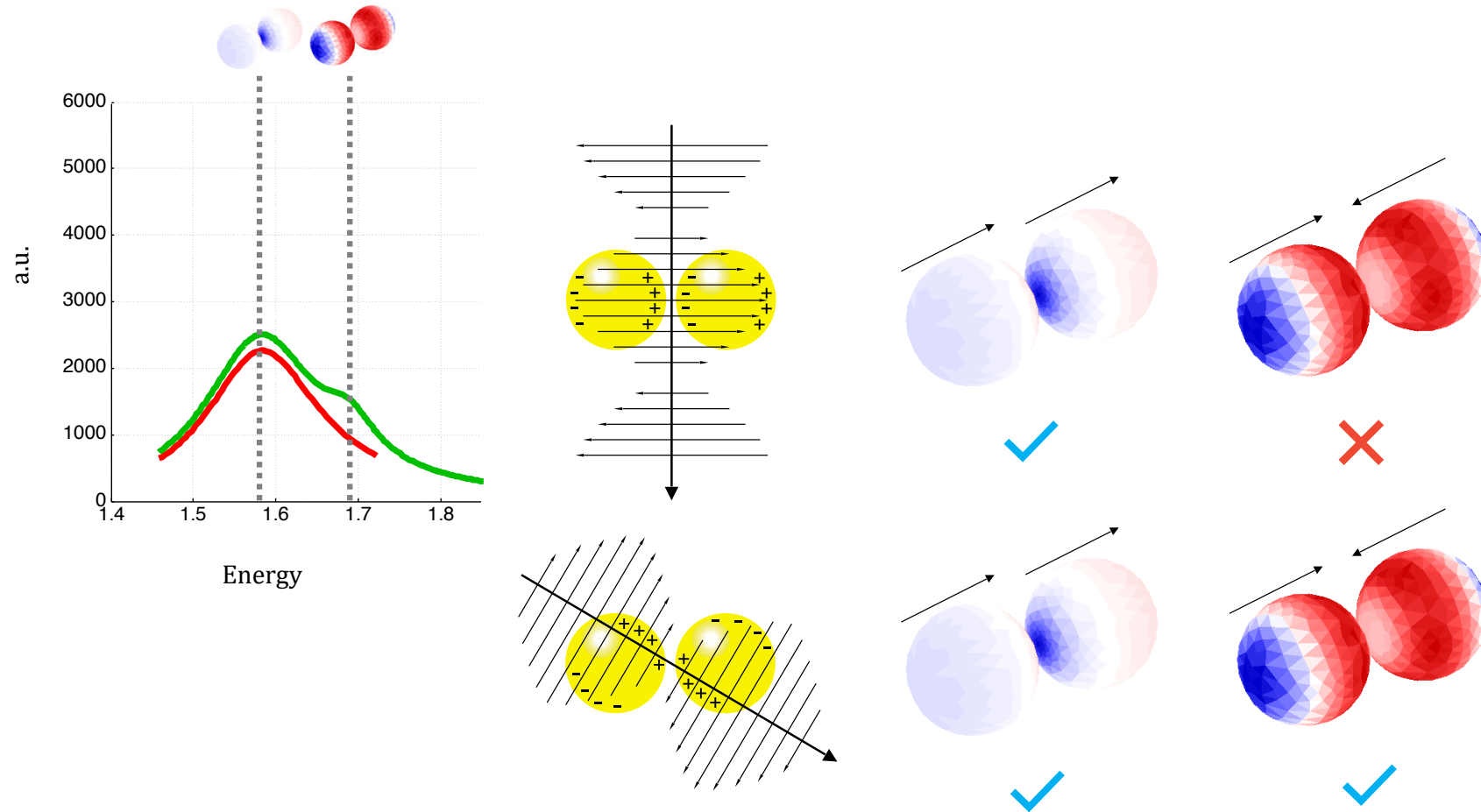
Figures courtesy of Gabriel D. Bernasconi
Formerly NAM, EPFL

EPFL Planewave excitation

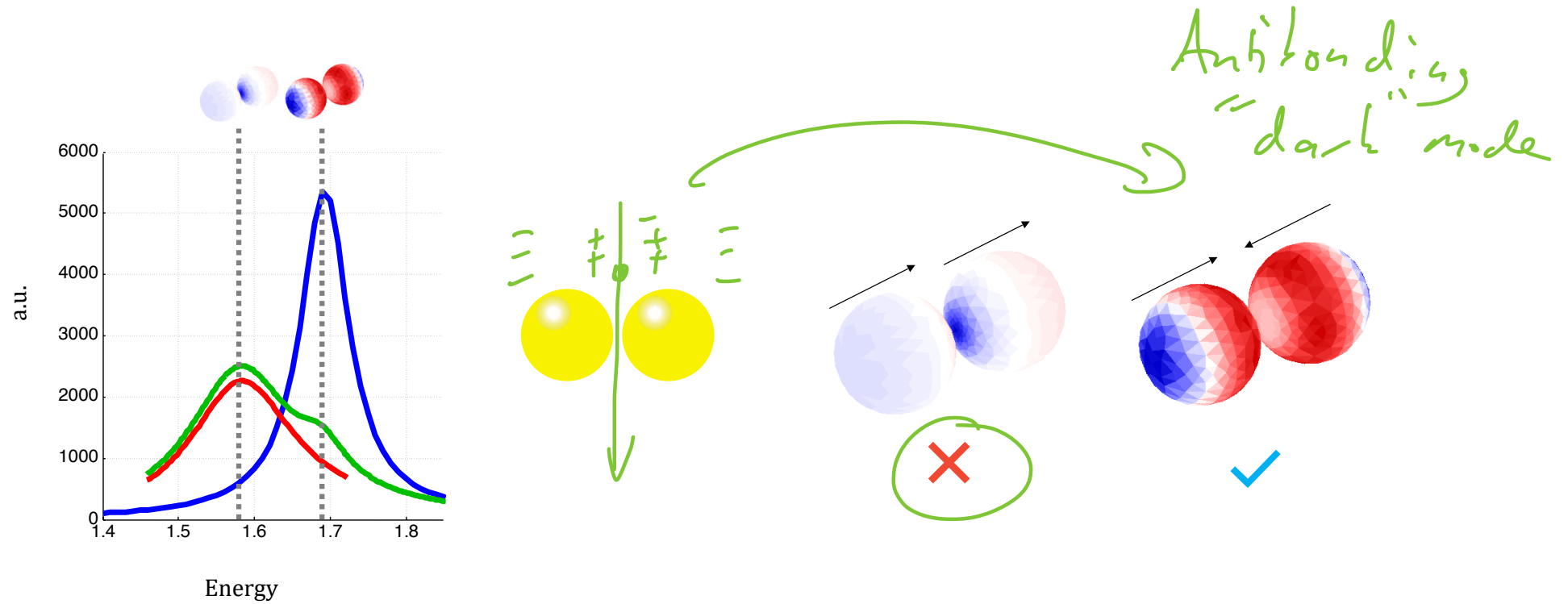


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EPFL Planewave excitation

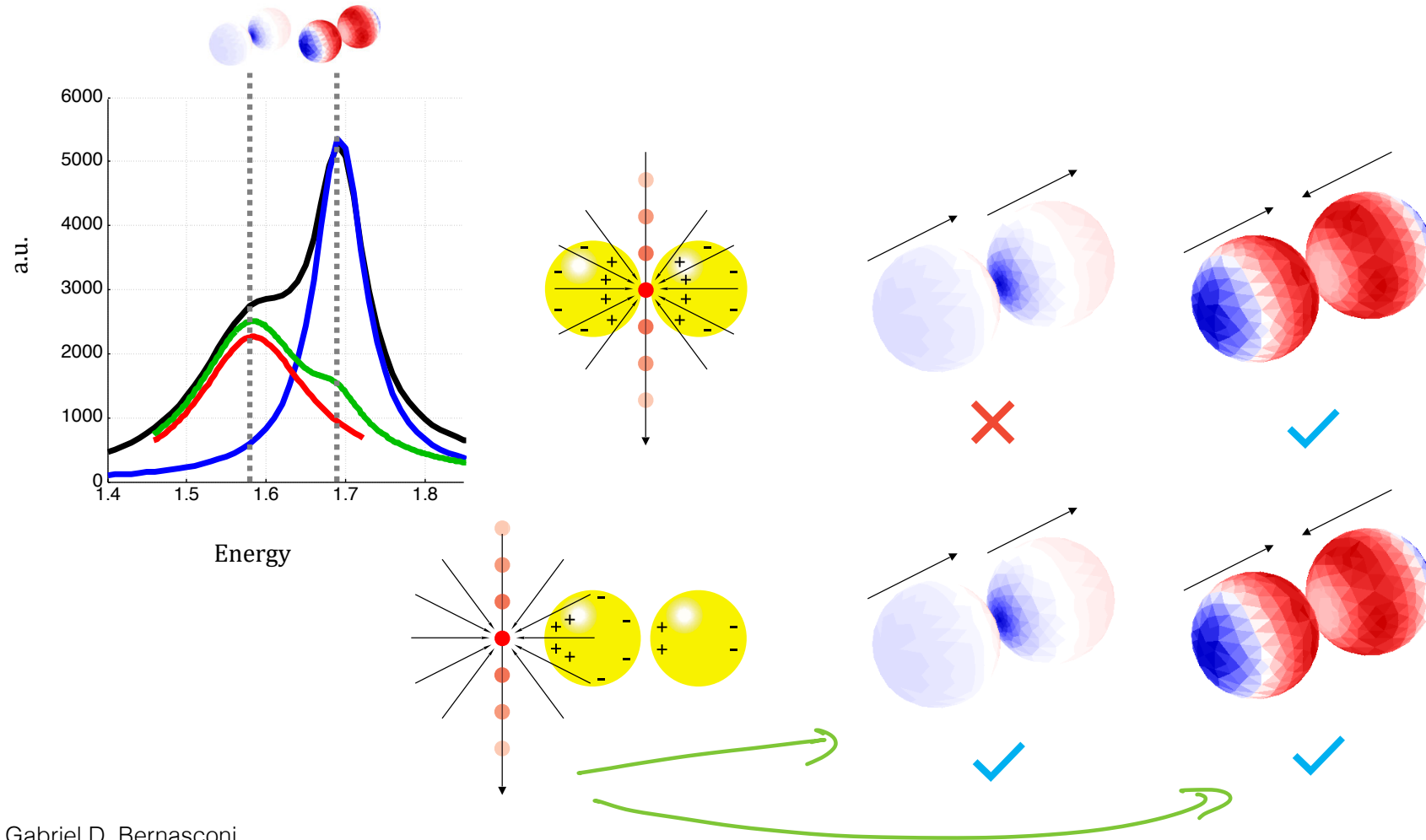


Figures courtesy of Gabriel D. Bernasconi
Formerly NAM, EPFL



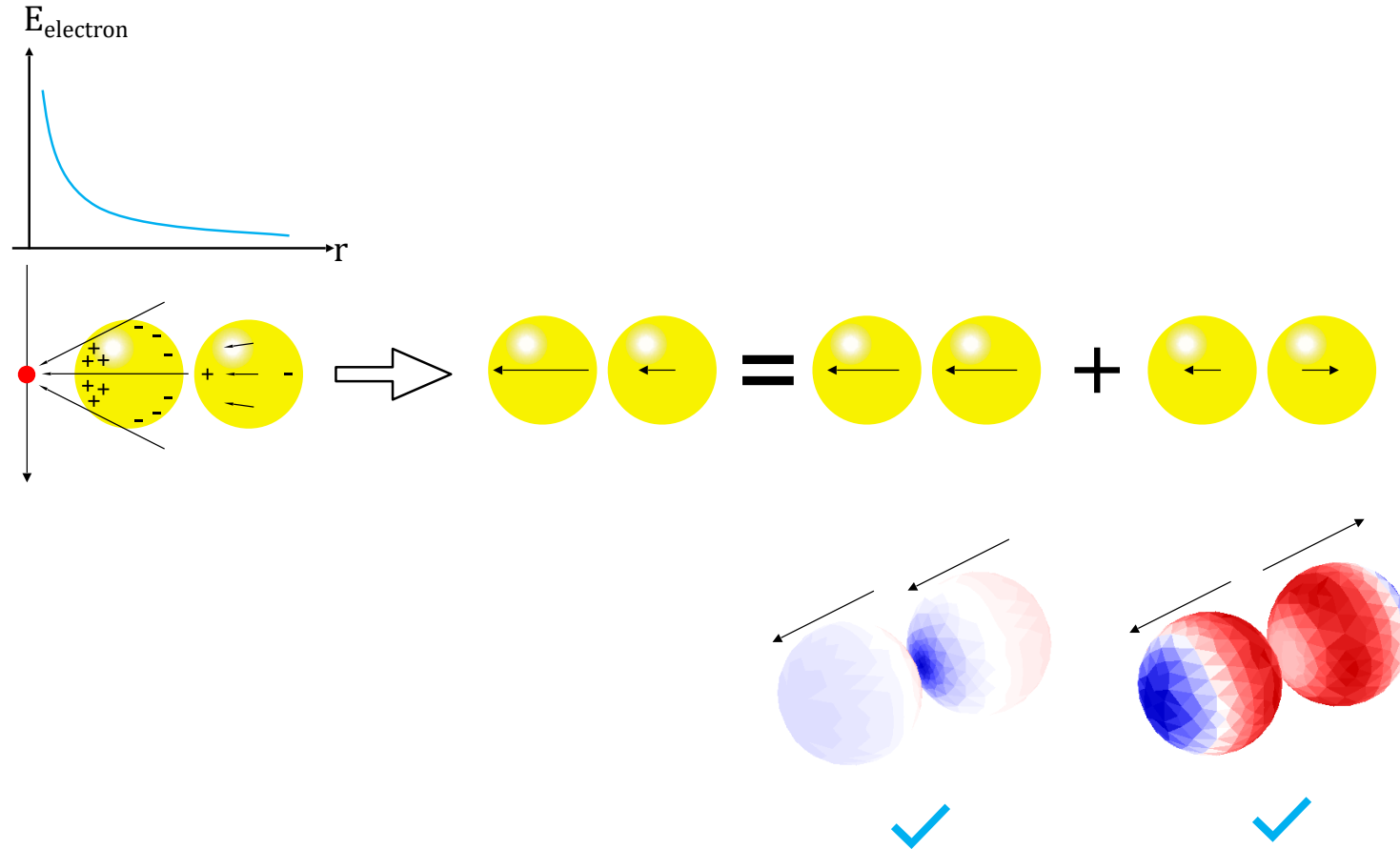
Figures courtesy of Gabriel D. Bernasconi
Formerly NAM, EPFL

EPFL Electron excitation



Figures courtesy of Gabriel D. Bernasconi
Formerly NAM, EPFL

EPFL Electron excitation



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Formerly NAM, EPFL

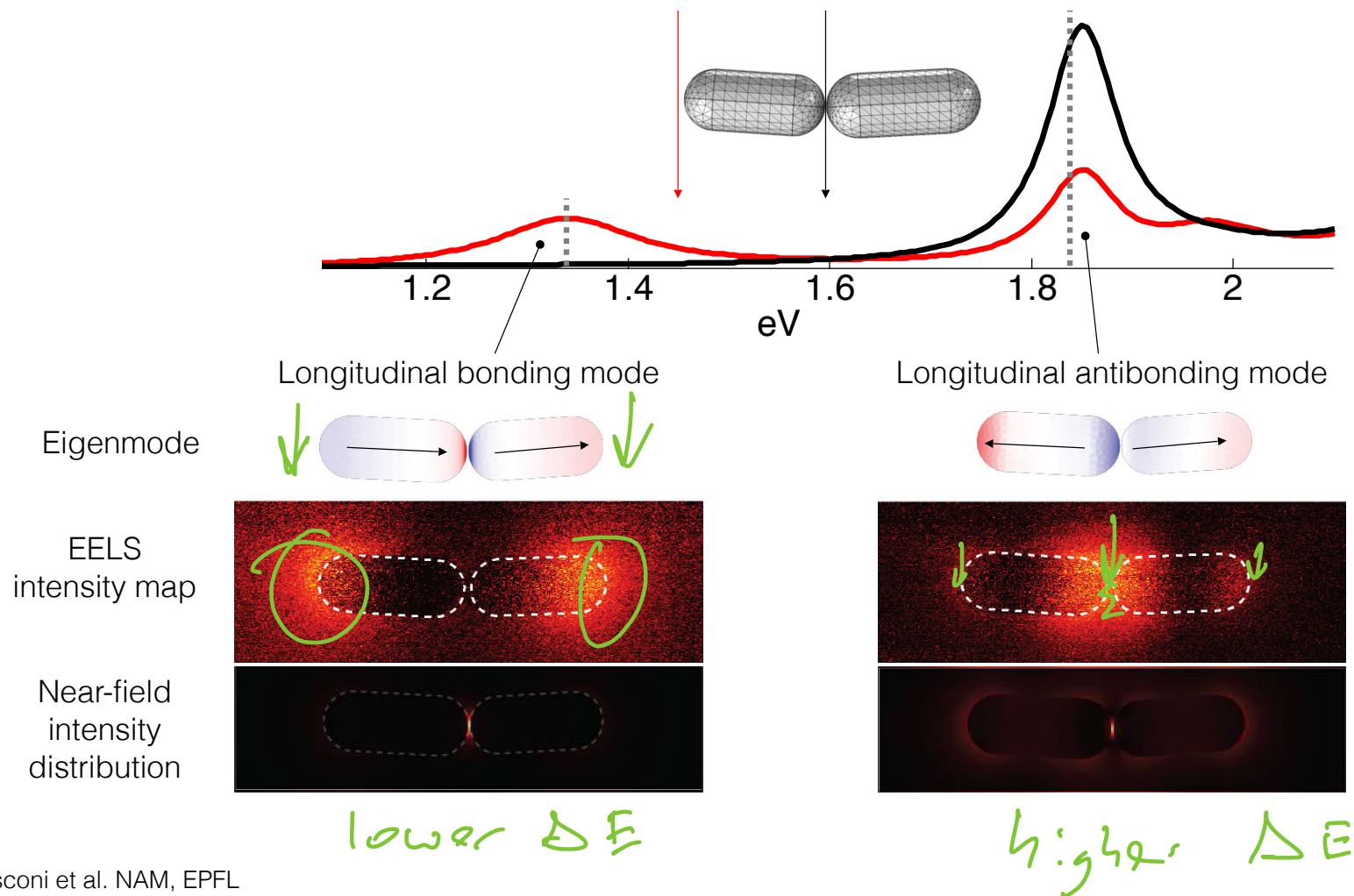


Figure by Gabriel D. Bernasconi et al. NAM, EPFL
EELS data: V. Flauraud et al. Nature Nano **12** (2017) 73–80

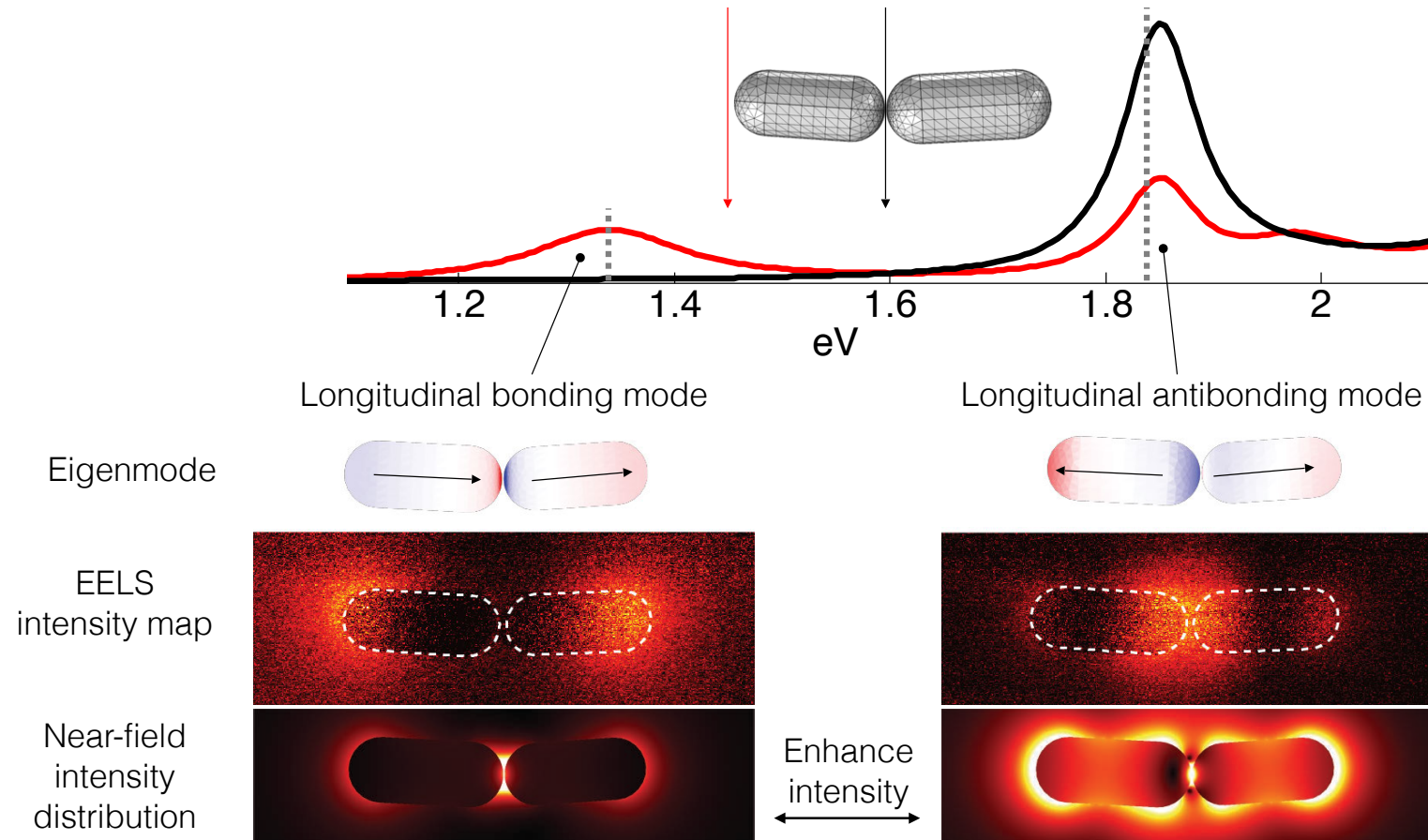


Figure by Gabriel D. Bernasconi et al. NAM, EPFL
EELS data: V. Flauraud et al. Nature Nano **12** (2017) 73–80

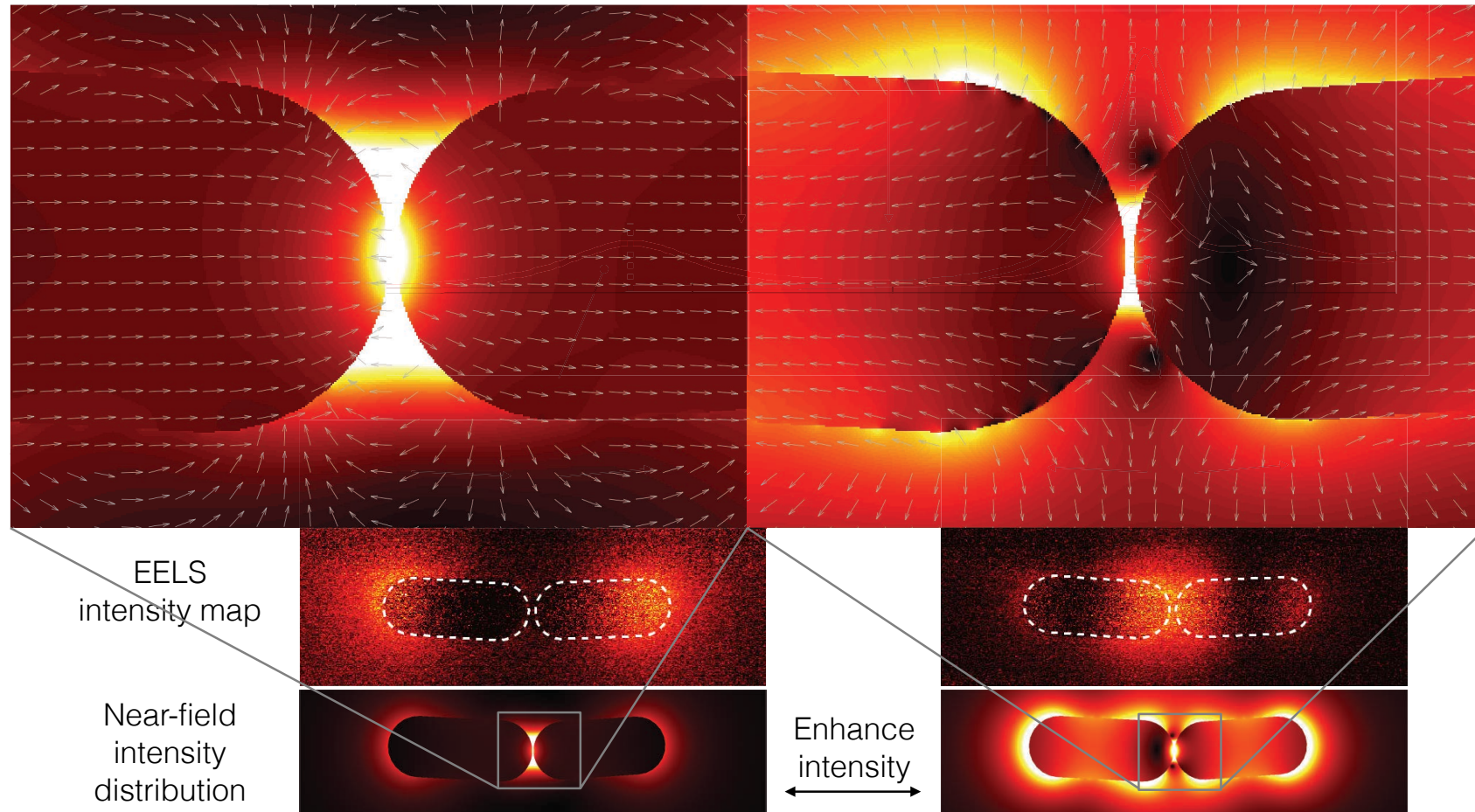
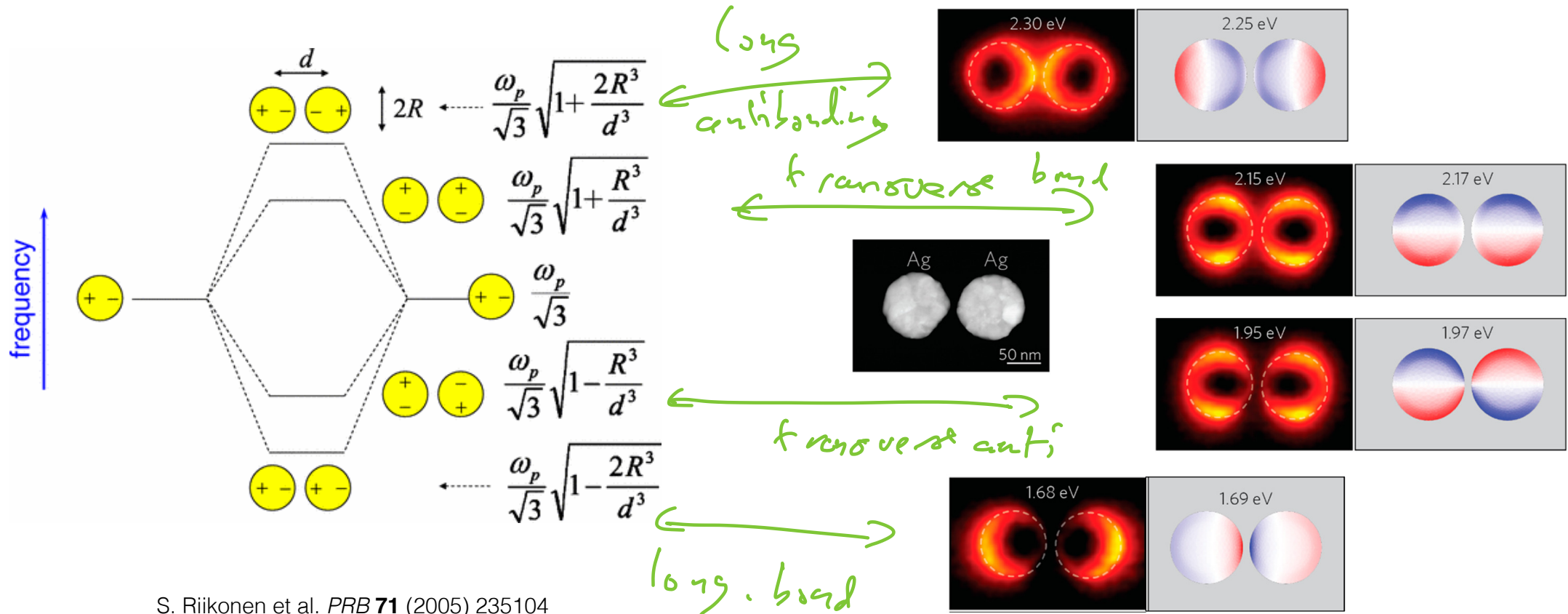


Figure by Gabriel D. Bernasconi et al. NAM, EPFL
EELS data: V. Flauraud et al. Nature Nano **12** (2017) 73–80

EPFL Dimer hybridisation

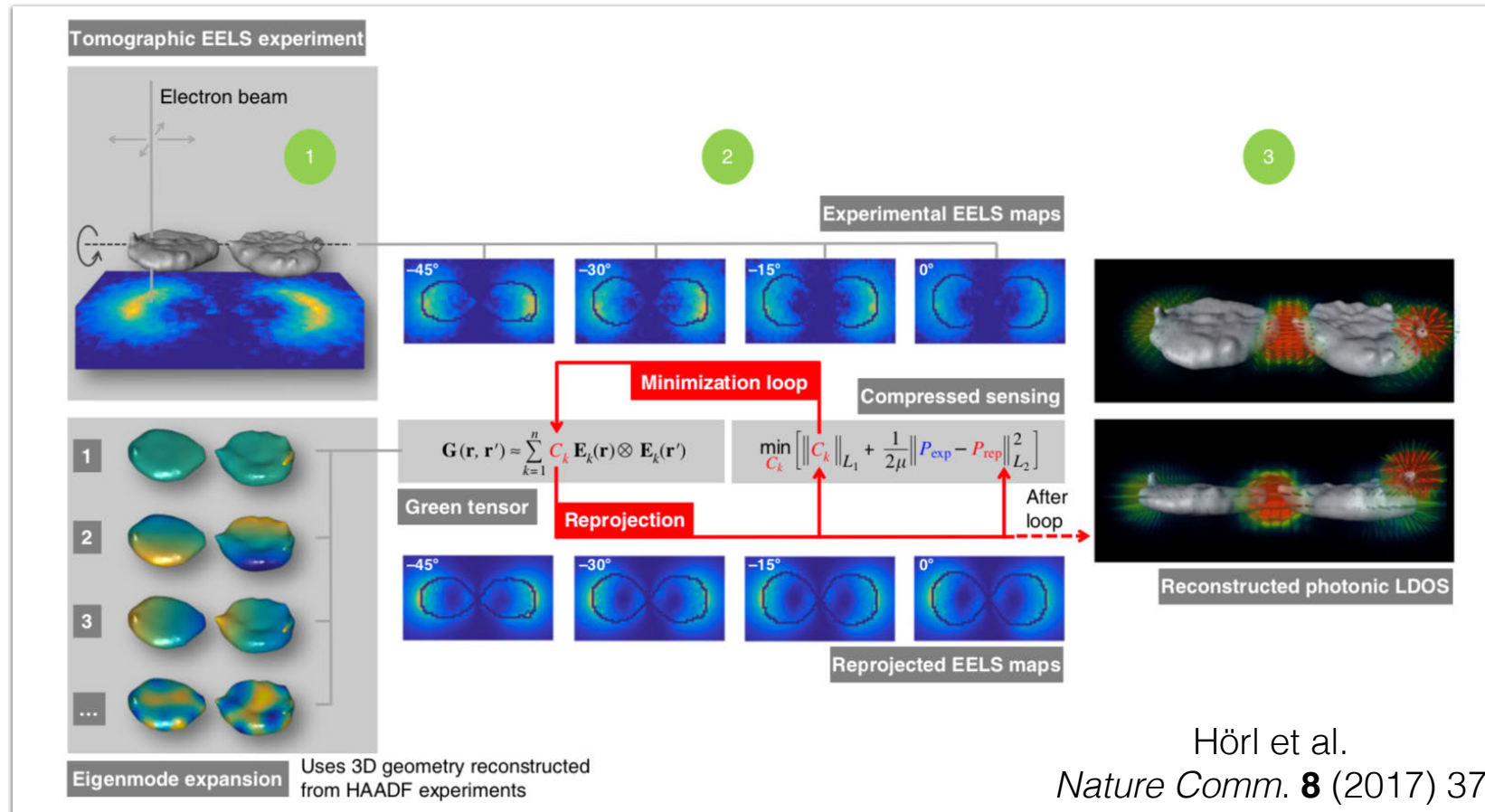
- From one dipole mode, dimer hybridisation of a radially symmetric nps $\Rightarrow$ 4 modes
- EELS permits measurement of all of them
- Model (left): interacting spheres
- EELS data (right): Ag discs



S. Riikonen et al. *PRB* **71** (2005) 235104

EPFL 3D imaging of bonding mode

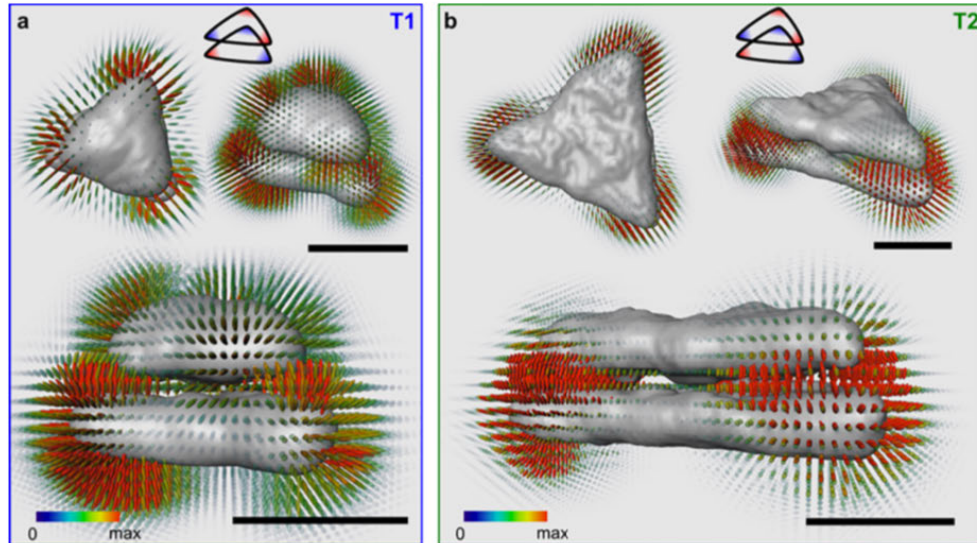
- Hörl et al. developed tomographic approach to imaging photonic environment of plasmonic nanoparticles through solution of an inverse problem



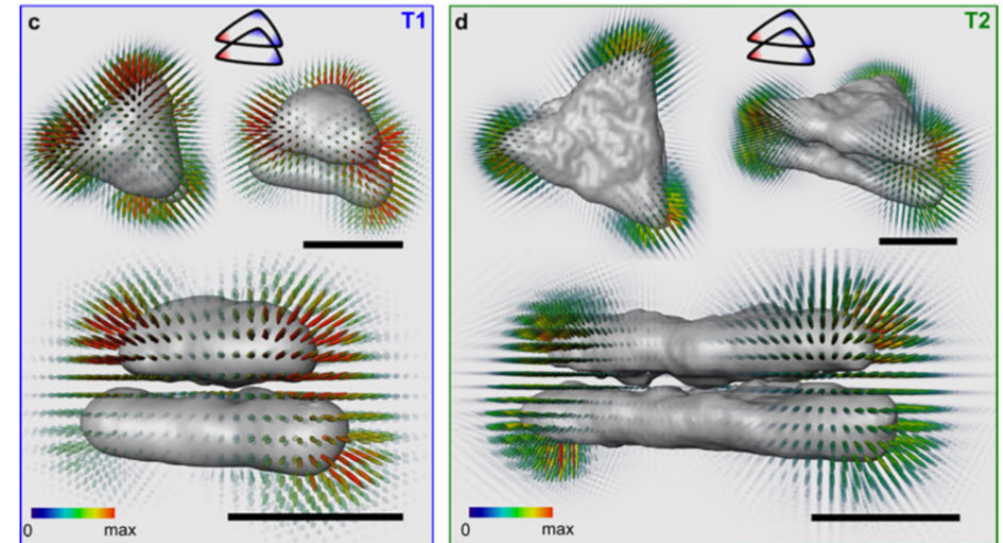
EPFL 3D imaging of bonding mode

- Applied to vertically-stacked dimer pair has allowed the gap bonding mode to be directly visualised:

Bonding modes



Anti-bonding modes



Haberfehlner et al. *Nano Lett.* **17** (2017) 6773–6777

EPFL Contents

- Kramers-Kronig analysis and band gap measurements in visible light regime
- An evanescent probe
- Introduction to localised surface plasmon resonances (LSPRs) in EELS
- Theoretical approaches and numerical methods for EELS LSPR analysis
Dielectric in optical regime
- Coupling of plasmonic nanoparticles: EELS vs planewave illumination;
imaging of hybridisation and bonding modes
- Plasmon damping; scattering and absorption
- Dielectrics and bound-states-in-the-continuum

EPFL Plasmon damping

- LSPRs lose energy via:
 - scattering radiation to far-field (as could be measured by CL)
 - non-radiative channels, i.e. absorption, leading to thermal dissipation
- Decay time on the order of 10s of femtoseconds
- Can describe with damped oscillator model

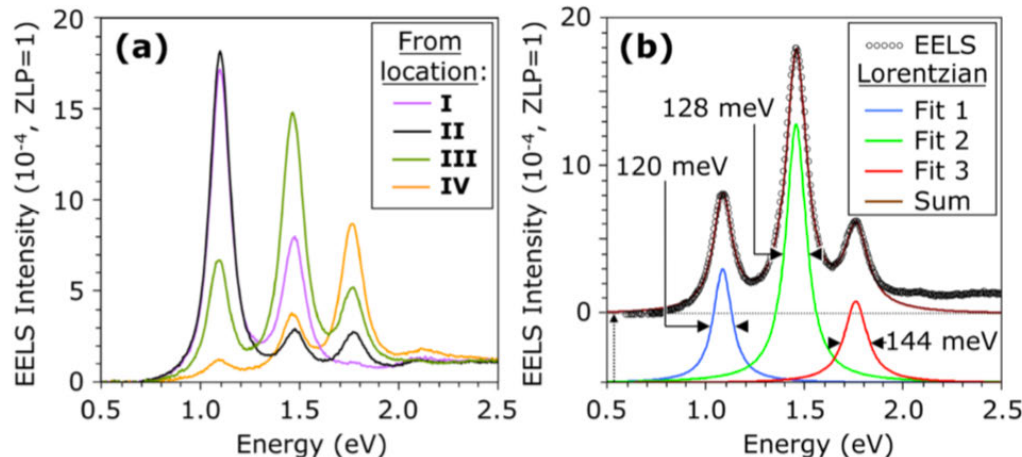


EPFL Plasmon damping

- By carefully measuring width of plasmon peaks in EELS, Bosman et al. were able to measure the Q-factor and dephasing times T_2 for damping of different resonances in Au nanostructures:

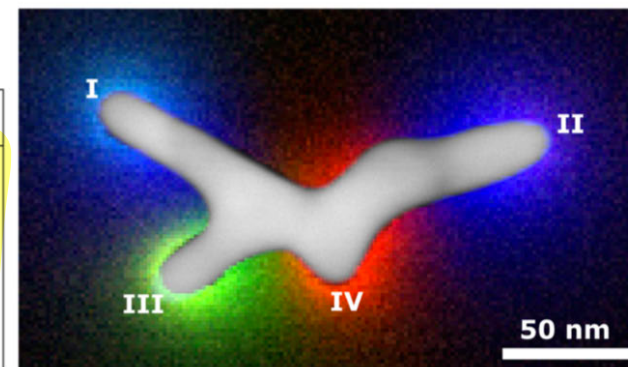
Dephasing time
 $T_2 = \frac{2\tau}{\Gamma}$

$Q = \frac{E_p}{\Gamma}$ ← DE for plasmon resonance
 ← plasmon peak width



(c)

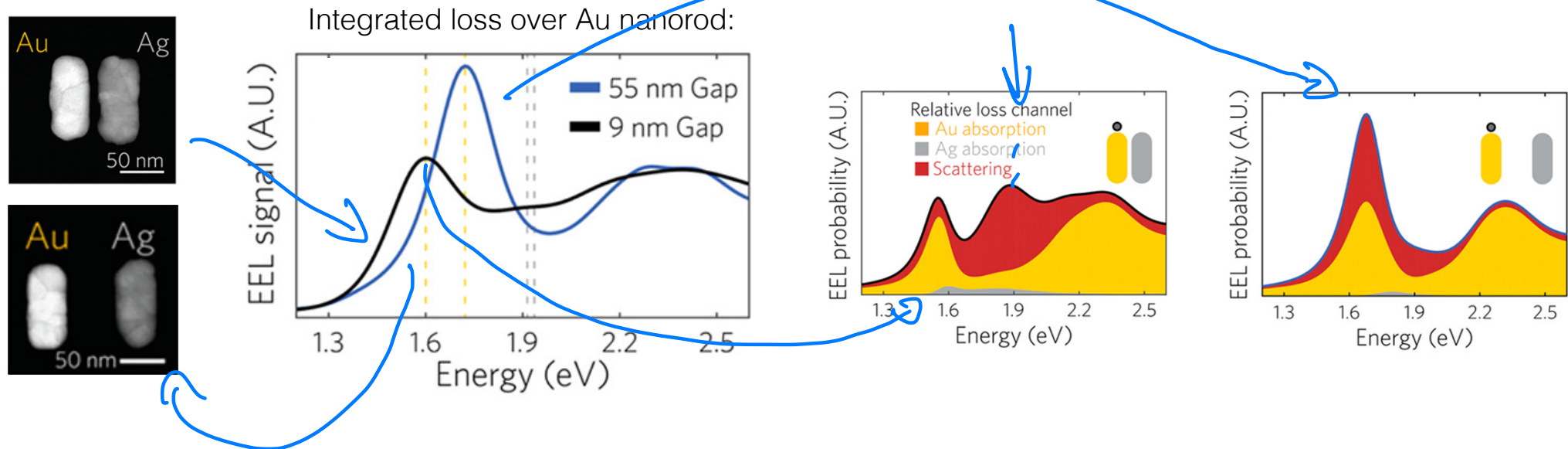
Q-factor	T_2 (fs)
12.4 ±0.36	14.8 ±0.40
14.8 ±0.33	13.4 ±0.32
15.0 ±0.20	11.2 ±0.22



Bosman et al. *Sci. Rep.* **3** (2013) 1312

EPFL Antenna theory for scattering & absorption

- Bernasconi et al. introduced alternative antenna-based approach to EELS
- By coupling energy conservation law with full-wave EM computations based on a SIE method, derive EEL spectra directly from dissipative processes of scattering & absorption
- Reveals interplay between absorption and scattering; i.e. where energy goes



Bernasconi et al. *ACS Photonics* **4** (2017) 156–164; V. Flauraud et al. *ACS Nano* **11** (2017) 3485–3495

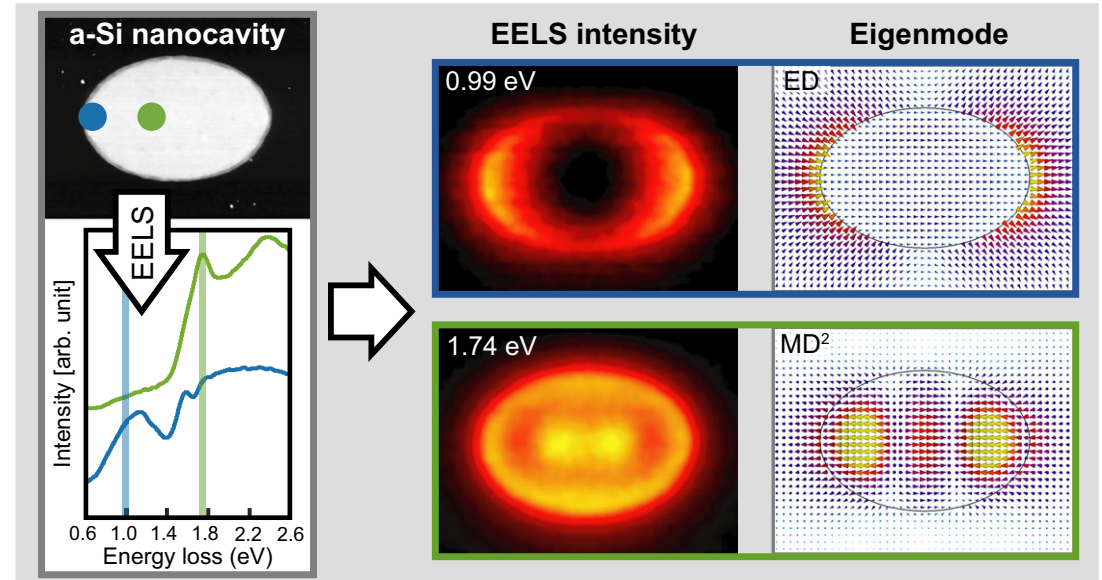
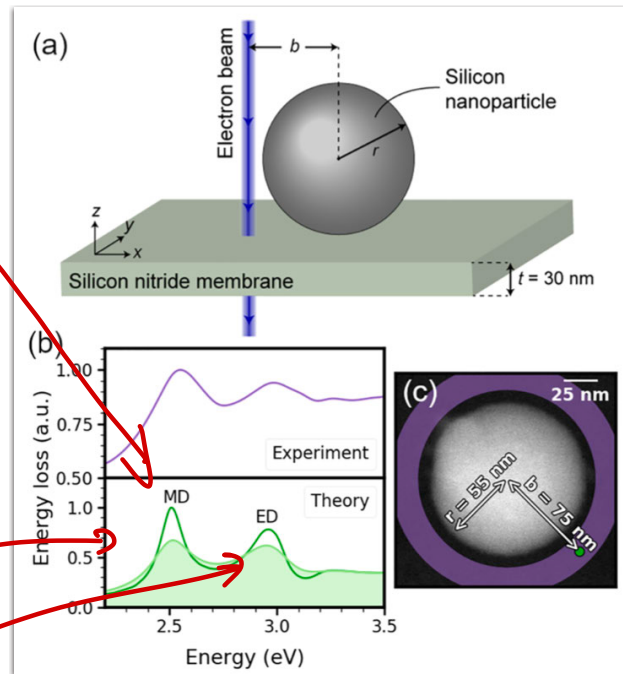
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EPFL Dielectric nanostructures

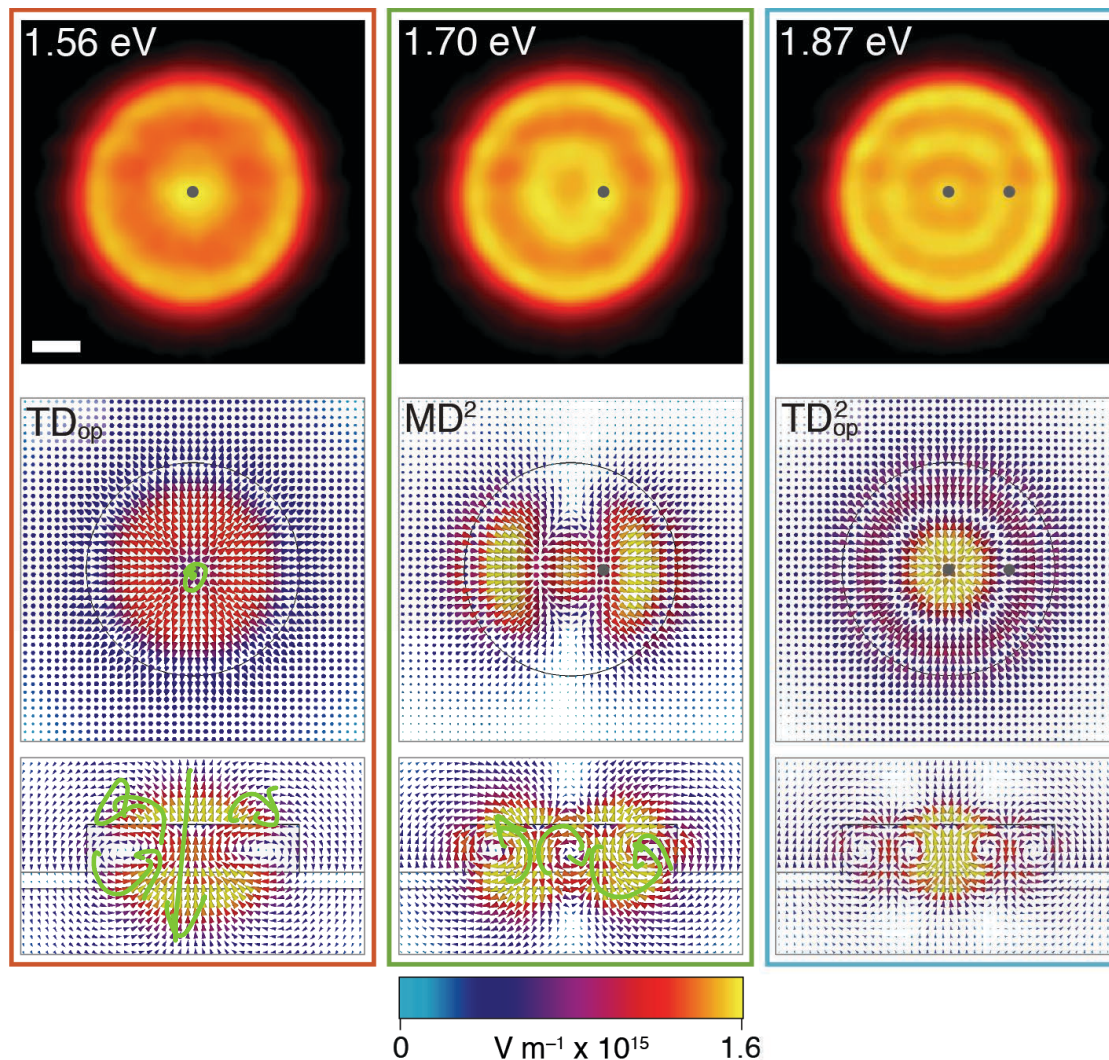
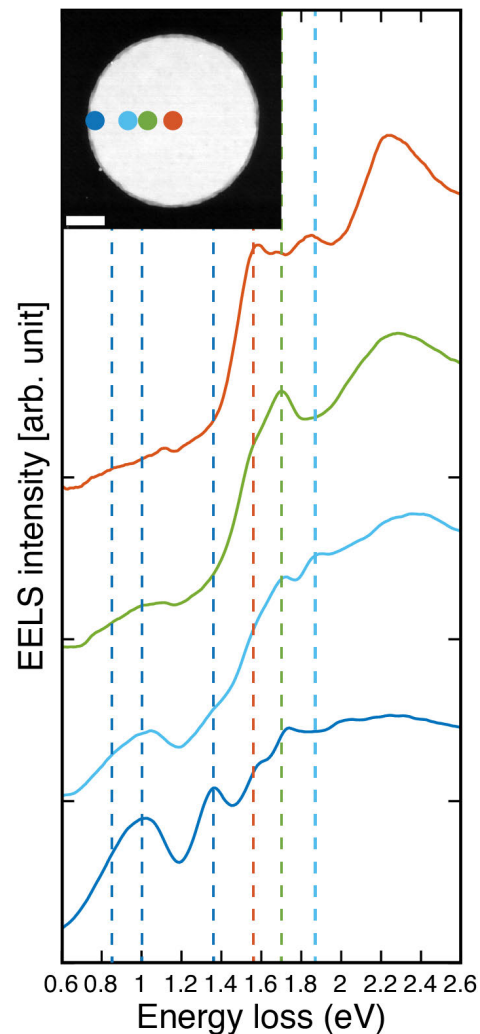
- Dielectric nanostructures that support Mie-type resonances also of interest for nanophotonics applications *Excite both electric and magnetic modes*
- Resonances correspond to charge displacement currents in particle volume
- Resonances can be excited by e^- probe and measured by EELS analogously to LSPRs

Simulation using COMSOL - line current approx. Magnetic dipole electric dipole



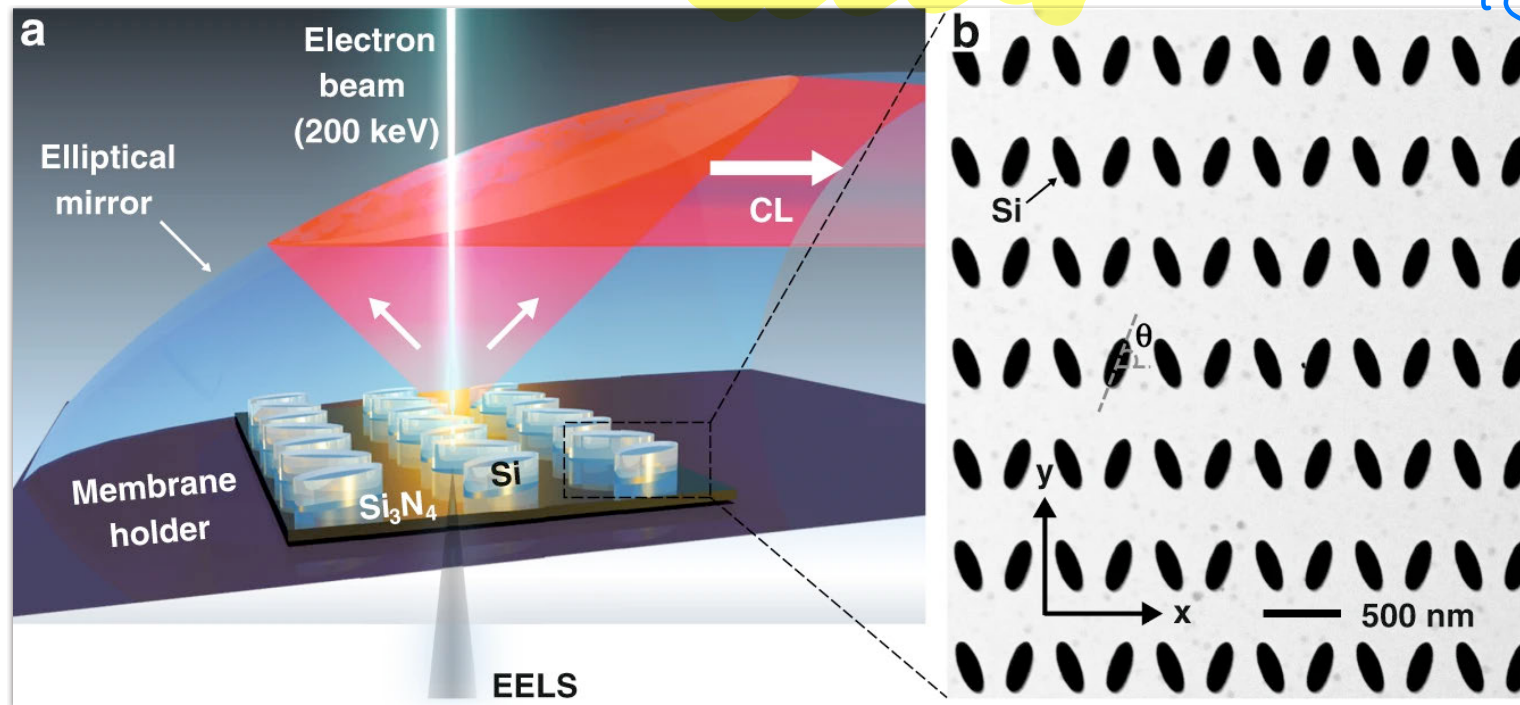
Assadillayev et al. *ACS Photonics* **8** (2021) 1582

Alexander et al. *ACS Nano* **15** (2021) 16501



EPFL Bound-states-in-the-continuum (BIC)

- Optical modes with energies higher than potential wells that are still localised and bound in space $\Rightarrow$ complete suppression of radiative loss in far-field
- Dong et al. studied BIC with EELS (near-field) and CL (far-field)

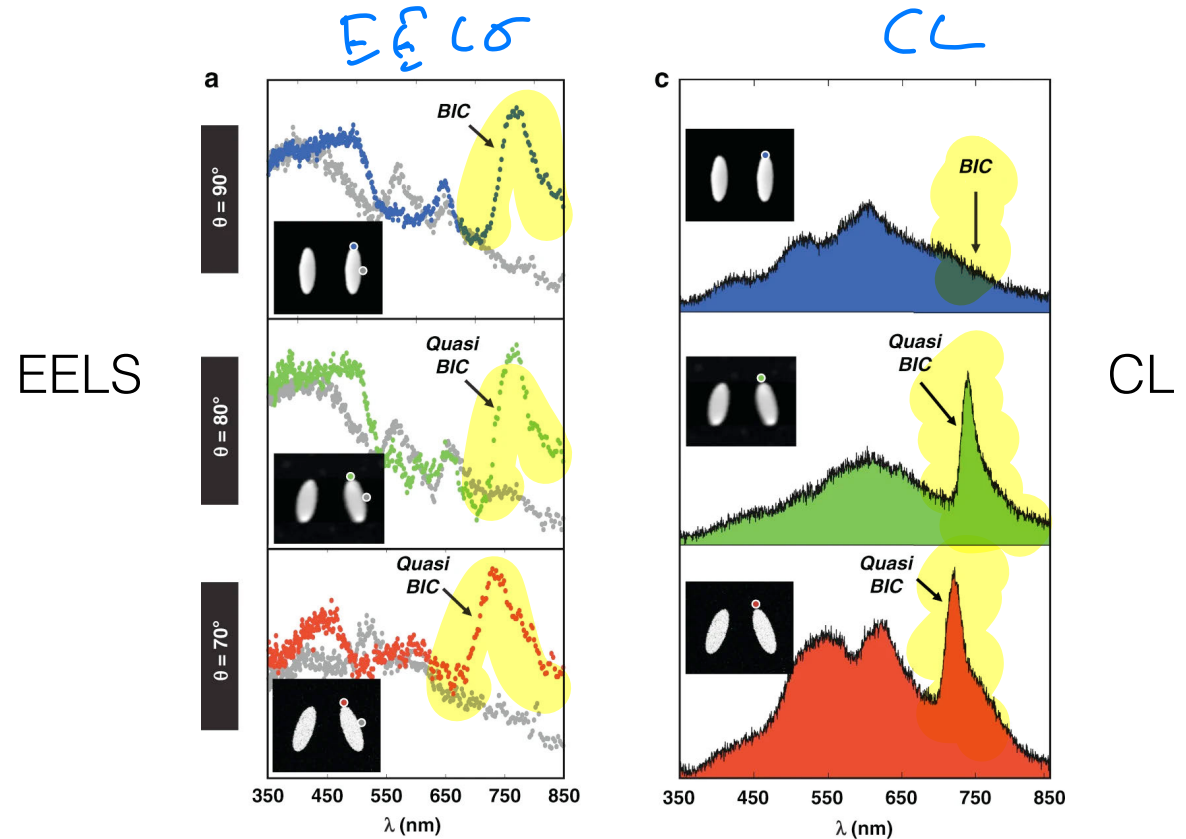
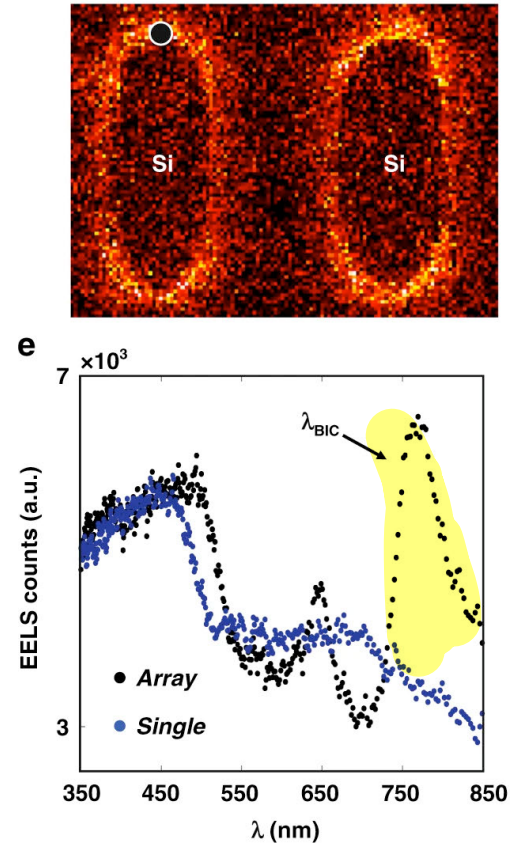


UV excitations
Only radiation that escapes

Si elliptic cylinder nanoantennas that support (quasi-) BIC modes

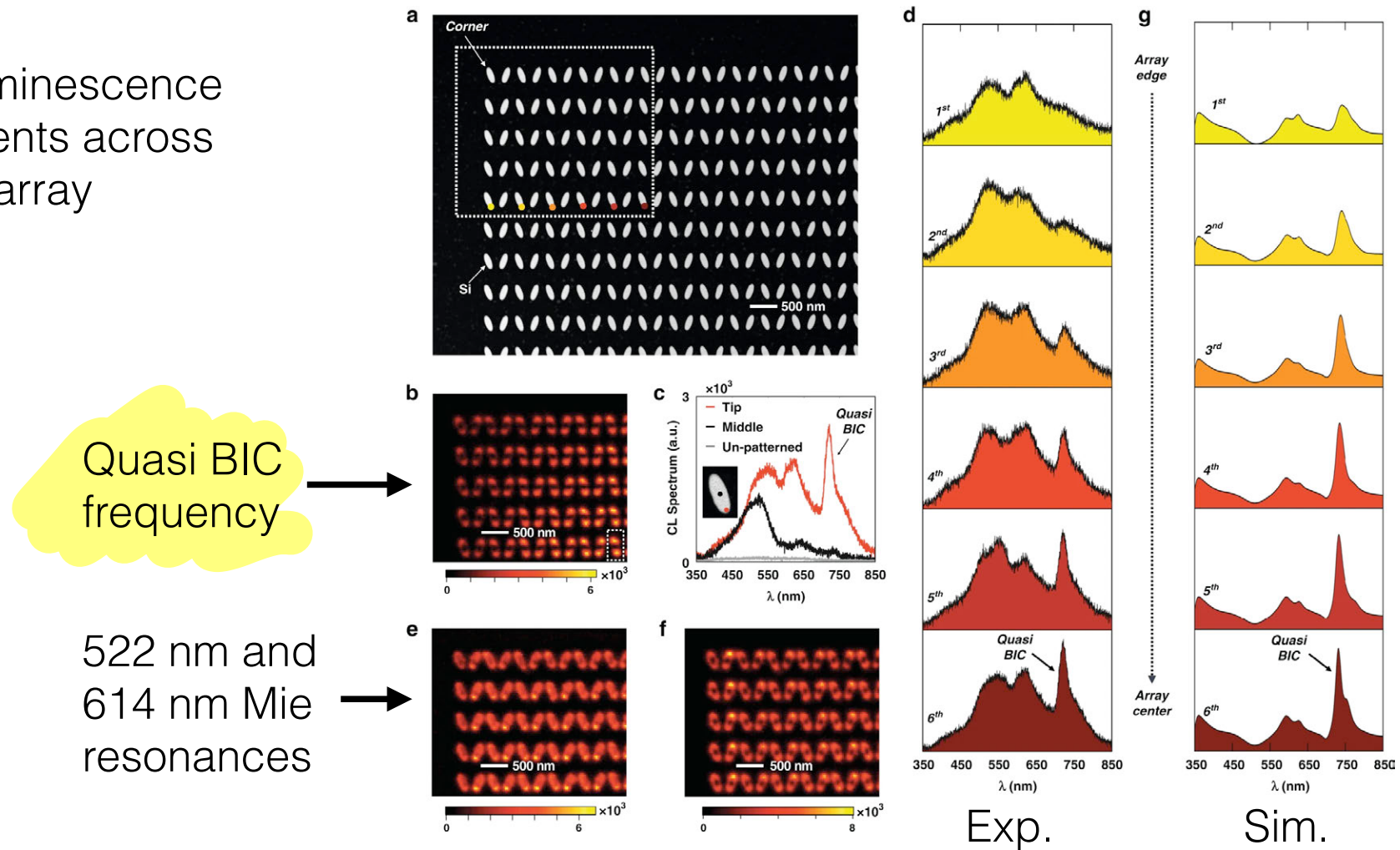
EPFL Bound-states-in-the-continuum (BIC)

- BIC excitation only seen for *array* of nanoantennas
- Tilted nanoantennas: BIC loses full confinement, some radiation escapes to far-field (Quasi BIC)



EPFL Bound-states-in-the-continuum (BIC)

- Cathodoluminescence measurements across Quasi BIC array



EPFL Not discussed today...

- Relativistic quantum electrodynamic approach: Hugo Lourenço-Martins et al. *SciPost Phys.* **10** (2021) 031. E.g. for holography EELS and other phase-related experiments
- Probing LSPRs using phase-shaped electron beams. By matching wave function of e⁻-beam to plasmonic symmetry can selectively detect specific plasmon modes. HLM paper; Guzzinati et al. *Nat. Comm.* **8** (2017) 14999
- Study of excitations in dielectric photonic crystals. Conceptually: virtual broad band light from Cherenkov & transition radiation couples to photonic LDOS. García de Abajo et al. *PRL* **91** (2003) 143902, Cha et al. *PRB* **81** (2010) 113102 – TM modes measured in aloof geometry; Le Thomas et al. *PRB* **87** (2013) 155314 – cavity TE mode measured in bulk
- Incoherent radiation studied by EELS and STEM-CL – e.g. excitonic effects in EELS, radiation from e⁻-h⁺ exciton recombination at quantum dots; quantum emitters; ...